



# Detection of arbitrarily many solutions for perturbed elliptic problems involving oscillatory terms <sup>☆</sup>

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To Professor Vicențiu Rădulescu, with esteem, on his fiftieth birthday

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## Abstract

We propose a direct approach for detecting arbitrarily many solutions for perturbed elliptic problems involving oscillatory terms. Although the method works in various frameworks, we illustrate it on the problem

$$\begin{cases} -\Delta u + u = Q(x)[f(u) + \varepsilon g(u)], & x \in \mathbb{R}^N, N \geq 2, \\ u \geq 0, \\ u(x) \rightarrow 0 \text{ as } |x| \rightarrow \infty, \end{cases} \quad (P_\varepsilon)$$

where  $Q: \mathbb{R}^N \rightarrow \mathbb{R}$  is a radial, positive potential,  $f: [0, \infty) \rightarrow \mathbb{R}$  is a continuous nonlinearity which oscillates near the origin or at infinity and  $g: [0, \infty) \rightarrow \mathbb{R}$  is any arbitrarily continuous function with  $g(0) = 0$ . Our aim is to prove that: (a) the unperturbed problem  $(P_0)$ , i.e.  $\varepsilon = 0$  in  $(P_\varepsilon)$ , has infinitely many distinct solutions; (b) the number of distinct solutions for  $(P_\varepsilon)$  becomes greater and greater whenever  $|\varepsilon|$  is smaller and smaller. In fact, our method surprisingly shows that (a) and (b) are *equivalent* in the sense that they are deducible from each other. Various properties of the solutions are also described in  $L^\infty$ - and  $H^1$ -norms. Our method is variational and a specific construction enforces the use of the principle of symmetric criticality for *non-smooth* Szulkin-type functionals.

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*Keywords:* Perturbed elliptic problem; Arbitrarily many solutions; Szulkin-type functional; Symmetric criticality

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## 1. Introduction and main results

Having infinitely many solutions for a given equation, after a ‘small’ perturbation of it, one expects to find still many solutions for the perturbed equation; moreover, once the perturbation tends to zero, the number of solutions for the perturbed equation should tend to infinity. Such phenomenon is well known in the case of the equation  $\sin s = c$  with  $c \in (-1, 1)$  fixed, and its perturbation  $\sin s = c + \varepsilon s$ ,  $s \in \mathbb{R}$ ; the perturbed equation has more and more solutions as  $|\varepsilon|$  decreases to 0. To the best of our knowledge, this natural phenomenon has been first exploited in an abstract framework by Krasnosel’skii [6]. More precisely, by using topological methods, Krasnosel’skii asserts the existence of more and more critical points of an even  $C^1$ -class functional perturbed by a non-even term tending to zero, the critical points of the perturbed functional being the solutions for the studied equation.

Later on, Krasnosel’skii’s idea served for further developments; in order to describe them, we consider the equation

$$-\Delta u + V(x)u = f(x, u) + \varepsilon g(x, u) \quad \text{in } \Omega, \quad (\text{E}_\varepsilon)$$

where  $\Omega \subseteq \mathbb{R}^N$  is an open domain,  $V : \Omega \rightarrow \mathbb{R}$  is a measurable function, while  $f, g : \Omega \times \mathbb{R} \rightarrow \mathbb{R}$  are Carathéodory functions. Subject to certain boundary condition, we assume the unperturbed equation

$$-\Delta u + V(x)u = f(x, u) \quad \text{in } \Omega, \quad (\text{E}_0)$$

has *infinitely many* distinct solutions. Then, the main question is:

(q) *Fixing  $k \in \mathbb{N}$ , can one find a number  $\varepsilon_k > 0$  such that the perturbed equation  $(\text{E}_\varepsilon)$  has at least  $k$  distinct solutions whenever  $\varepsilon \in [-\varepsilon_k, \varepsilon_k]$ ?*

Two different classes of results are available in the literature answering affirmatively question (q), both for *bounded* domains subjected to zero Dirichlet boundary condition, and  $V \equiv 0$ :

- A. *Perturbation of symmetric problems.* Assume  $f(x, s) = -f(x, -s)$  for every  $(x, s) \in \Omega \times \mathbb{R}$ . It is well known that if the energy functional has the Mountain Pass Geometry, problem  $(\text{E}_0)$  has infinitely many solutions, due to the symmetric version of the Mountain Pass theorem, see Ambrosetti and Rabinowitz [1]. Furthermore, question (q) was fully answered by Li and Liu [9] for arbitrarily continuous nonlinearity  $g$ , following the topological approach developed by Degiovanni and Lancelotti [3] and Degiovanni and Rădulescu [4].
- B. *Perturbation of oscillatory problems.* Assume  $f(x, \cdot)$  oscillates near the origin or at infinity, uniformly with respect to  $x \in \Omega$ . Special kinds of oscillations produce infinitely many solutions for  $(\text{E}_0)$ , as shown by Omari and Zanolin [10], and Saint Raymond [13]. Concerning the perturbed problem, Anello and Cordaro [2] answered question (q), by using the abstract variational principle of Ricceri [12].

The main purpose of the present paper is to propose a third, *direct method* for answering question (q) whenever the nonlinear term  $f(x, \cdot)$  belongs to a wide class of oscillatory functions. Although our method works in various frameworks (for instance, the domain  $\Omega$  is bounded, and

the studied problem is subject to Dirichlet, Neumann or more general boundary conditions), we illustrate this new approach by treating the problem

$$\begin{cases} -\Delta u + u = Q(x)[f(u) + \varepsilon g(u)], & x \in \mathbb{R}^N, N \geq 2, \\ u \geq 0, \\ u(x) \rightarrow 0 \text{ as } |x| \rightarrow \infty, \end{cases} \tag{P_\varepsilon}$$

where  $f : [0, \infty) \rightarrow \mathbb{R}$  is a continuous nonlinearity which oscillates near the origin or at infinity, see hypotheses  $(f_1^0)$  and  $(f_2^0)$ , or  $(f_1^\infty)$  and  $(f_2^\infty)$ , respectively. On the nonlinear term  $g : [0, \infty) \rightarrow \mathbb{R}$  we assume only its continuity and that  $g(0) = 0$ .

Throughout the paper we assume

(Q)  $Q : \mathbb{R}^N \rightarrow \mathbb{R}$  is a positive, continuous, radially symmetric potential such that  $Q \in L^p(\mathbb{R}^N)$  for every  $p \in [1, 2]$ .

In order to formulate our results, we recall some notations. The Hilbert space  $H^1(\mathbb{R}^N)$  is endowed with its usual inner product and norm,

$$\langle u, v \rangle_{H^1} = \int_{\mathbb{R}^N} (\nabla u \nabla v + uv) dx, \quad \|u\|_{H^1} = \sqrt{\langle u, u \rangle_{H^1}}, \quad u, v \in H^1(\mathbb{R}^N).$$

The space  $L^q(\mathbb{R}^N)$  is endowed with its usual norm  $\|\cdot\|_{L^q}$ ,  $q \in [1, \infty]$ .

Let  $f \in C([0, \infty), \mathbb{R})$  and  $F(s) = \int_0^s f(t) dt$ ,  $s \geq 0$ . We assume:

$$(f_1^0) \quad -\infty < \liminf_{s \rightarrow 0^+} \frac{F(s)}{s^2} \leq \limsup_{s \rightarrow 0^+} \frac{F(s)}{s^2} = +\infty.$$

$(f_2^0)$  There exists a sequence  $\{s_i\}_i \subset (0, \infty)$  converging to 0 such that  $f(s_i) < 0$  for every  $i \in \mathbb{N}$ .

**Remark 1.1.** (a) Hypotheses  $(f_1^0)$  and  $(f_2^0)$  imply an oscillatory behaviour of  $f$  near the origin.

(b) Let  $\alpha, \beta, \gamma \in \mathbb{R}$  such that  $0 < \alpha < 1 < \alpha + \beta$ , and  $\gamma \in (0, 1)$ . Then, the function  $f : [0, \infty) \rightarrow \mathbb{R}$  defined by  $f(0) = 0$  and  $f(s) = s^\alpha(\gamma + \sin s^{-\beta})$ ,  $s > 0$ , verifies  $(f_1^0)$  and  $(f_2^0)$ , respectively.

The first result deals with the unperturbed problem  $(P_0)$ :

**Theorem 1.1.** Assume (Q) and let  $f \in C([0, \infty), \mathbb{R})$  satisfying  $(f_1^0)$  and  $(f_2^0)$ . Then there exists a sequence  $\{u_i^0\}_i \subset H^1(\mathbb{R}^N)$  of distinct, radially symmetric weak solutions of  $(P_0)$  such that

$$\lim_{i \rightarrow \infty} \|u_i^0\|_{L^\infty} = \lim_{i \rightarrow \infty} \|u_i^0\|_{H^1} = 0. \tag{1}$$

Keeping in mind Theorem 1.1, we expect an affirmative answer to question (q) for the perturbed problem  $(P_\varepsilon)$ . This is indeed the case:

**Theorem 1.2.** Assume (Q), let  $f \in C([0, \infty), \mathbb{R})$  satisfying  $(f_1^0)$  and  $(f_2^0)$ , and let  $g \in C([0, \infty), \mathbb{R})$  with  $g(0) = 0$ . Then, for every  $k \in \mathbb{N}$ , there exists  $\varepsilon_k^0 > 0$  such that  $(P_\varepsilon)$  has at

least  $k$  distinct, radially symmetric weak solutions in  $H^1(\mathbb{R}^N)$  whenever  $\varepsilon \in [-\varepsilon_k^0, \varepsilon_k^0]$ . Moreover, if the (first  $k$ ) solutions are denoted by  $u_{i,\varepsilon}^0 \in H^1(\mathbb{R}^N)$ ,  $i = \overline{1, k}$ , then

$$\|u_{i,\varepsilon}^0\|_{L^\infty} < \frac{1}{i} \quad \text{and} \quad \|u_{i,\varepsilon}^0\|_{H^1} < \frac{1}{i} \quad \text{for any } i = \overline{1, k}; \quad \varepsilon \in [-\varepsilon_k^0, \varepsilon_k^0]. \tag{2}$$

**Remark 1.2.** Note that (1) and (2) are in a perfect concordance. Furthermore, an unexpected situation occurs: the perturbed and unperturbed problems are *equivalent* in the sense that they are deducible from each other. Clearly, the perturbed problem contains the unperturbed problem by choosing  $g \equiv 0$ . Conversely, exploiting the behaviour of certain sequences which appear in the proof of Theorem 1.1, we are able to answer affirmatively question (q) for problem  $(P_\varepsilon)$ ; this construction represents actually the core of our method. For details, see Section 3.

In the sequel, we will state the counterparts of Theorems 1.1 and 1.2 whenever  $f$  oscillates at infinity. We assume:

$$(f_1^\infty) \quad -\infty < \liminf_{s \rightarrow \infty} \frac{F(s)}{s^2} \leq \limsup_{s \rightarrow \infty} \frac{F(s)}{s^2} = +\infty.$$

$(f_2^\infty)$  There exists a sequence  $\{s_i\}_i \subset (0, \infty)$  converging to  $+\infty$  such that  $f(s_i) < 0$  for every  $i \in \mathbb{N}$ .

**Remark 1.3.** (a) Hypotheses  $(f_1^\infty)$  and  $(f_2^\infty)$  imply an oscillatory behaviour of  $f$  at infinity.

(b) Let  $\alpha, \beta, \gamma \in \mathbb{R}$  such that  $1 < \alpha$ ,  $|\alpha - \beta| < 1$ , and  $\gamma \in (0, 1)$ . Then, the function  $f : [0, \infty) \rightarrow \mathbb{R}$  defined by  $f(s) = s^\alpha(\gamma + \sin s^\beta)$  verifies the hypotheses  $(f_1^\infty)$  and  $(f_2^\infty)$ , respectively.

Concerning problem  $(P_0)$ , we have the counterpart of Theorem 1.1:

**Theorem 1.3.** Assume (Q) and let  $f \in C([0, \infty), \mathbb{R})$  satisfying  $(f_1^\infty)$ ,  $(f_2^\infty)$  and  $f(0) = 0$ . Then there exists a sequence  $\{u_i^\infty\}_i \subset H^1(\mathbb{R}^N)$  of radially symmetric weak solutions of  $(P_0)$  such that

$$\lim_{i \rightarrow \infty} \|u_i^\infty\|_{L^\infty} = \infty. \tag{3}$$

**Remark 1.4.** Note that beside of  $(f_1^\infty)$  and  $(f_2^\infty)$ , no further growth condition is assumed on the nonlinear term at infinity. Actually, this is the reason why we are not able to give  $H^1$ -estimates for the solutions obtained in Theorem 1.3. However, if we assume that  $f$  has a *half-subcritical* growth at infinity, i.e., there exist  $q \in (1, 2^*/2)$  and  $c > 0$  such that

$$|f(s)| \leq c(1 + s^{q-1}) \quad \text{for all } s \in [0, \infty), \tag{4}$$

then we have

$$\lim_{i \rightarrow \infty} \|u_i^\infty\|_{H^1} = \infty, \tag{3'}$$

see Section 4. Here, the number  $2^*$  is the usual critical exponent. Let us observe that relation (4) and the right side of  $(f_1^\infty)$  imply  $2 < q$ . Thus, (3') is possible for the lower dimensions  $N = 2, 3$ , since  $2 < 2^*/2$  must hold. Another way to guarantee (3') is to complete hypothesis (Q) by allowing for instance  $Q \in L^\infty(\mathbb{R}^N)$  and (4) with  $q \in (2, 2^*)$ .

Throughout Theorem 1.3, another affirmative answer to (q) can be done:

**Theorem 1.4.** *Assume (Q), let  $f \in C([0, \infty), \mathbb{R})$  satisfying  $(f_1^\infty)$ ,  $(f_2^\infty)$  with  $f(0) = 0$ , and let  $g \in C([0, \infty), \mathbb{R})$  with  $g(0) = 0$ . Then, for every  $k \in \mathbb{N}$ , there exists  $\varepsilon_k^\infty > 0$  such that  $(P_\varepsilon)$  has at least  $k$  distinct, radially symmetric weak solutions in  $H^1(\mathbb{R}^N)$  whenever  $\varepsilon \in [-\varepsilon_k^\infty, \varepsilon_k^\infty]$ . Moreover, if the (first  $k$ ) solutions are denoted by  $u_{i,\varepsilon}^\infty \in H^1(\mathbb{R}^N)$ ,  $i = \overline{1, k}$ , then*

$$\|u_{i,\varepsilon}^\infty\|_{L^\infty} > i - 1 \quad \text{for any } i = \overline{1, k}; \quad \varepsilon \in [-\varepsilon_k^\infty, \varepsilon_k^\infty]. \tag{5}$$

**Remark 1.5.** Relations (3) and (5) are also in concordance. Moreover, if both functions  $f$  and  $g$  verify (4) with  $q \in (2, 2^*/2)$ , then beside of (5), we also have

$$\|u_{i,\varepsilon}^\infty\|_{H^1} > i - 1 \quad \text{for any } i = \overline{1, k}; \quad \varepsilon \in [-\varepsilon_k^\infty, \varepsilon_k^\infty]. \tag{5'}$$

For details, see Section 4.

As we already pointed out, the method developed in the present paper is applicable in more general settings; not only the type of the domain  $\Omega$  can vary with various boundary conditions, but also equations involving the  $p$ -Laplacian can be considered. We emphasize that existence of infinitely many solutions for elliptic problems in  $\mathbb{R}^N$  involving the  $p$ -Laplacian and an oscillatory term has been already studied by Kristály [7] and Kristály, Moroşanu and Tersian [8]. However, in those papers the assumption  $p > N \geq 2$  was essential, due to a Morrey-type embedding, and only the ‘unperturbed’ case was considered. Consequently, the unperturbed problem  $(P_0)$  in the present paper may be considered as a natural completion of [7] and [8] from the point of view of the parameter  $p$  and the space dimension  $N$ . Finally, we mention that elliptic problems involving decaying or unbounded terms can be also treated by this method, exploiting recent embedding results of Su, Wang and Willem [14].

The paper is divided as follows. First, we prove a key result which is based on the principle of symmetric criticality for (non-differentiable) Szulkin-type functionals. We emphasize that although our problems  $(P_0)$  and  $(P_\varepsilon)$  are smooth ones, we are forced to use a typically non-smooth principle; this is due to a specific construction performed in Section 2. Then, in Section 3 we prove Theorems 1.1 and 1.2, while in Section 4 we are dealing with Theorems 1.3 and 1.4. Finally, in Appendix A, we recall the principle of symmetric criticality for Szulkin-type functionals, following the paper of Kobayashi and Ôtani [5].

## 2. Preliminaries and a key result

Due to the fact that problems  $(P_0)$  and  $(P_\varepsilon)$  will be treated simultaneously, in this section we consider the generic problem

$$\begin{cases} -\Delta u + u = Q(x)h(u), & x \in \mathbb{R}^N, \quad N \geq 2, \\ u(x) \rightarrow 0 & \text{as } |x| \rightarrow \infty, \end{cases} \tag{P_h}$$

and beside of hypothesis (Q), we assume that

- $(h_1)$   $h : [0, \infty) \rightarrow \mathbb{R}$  is a continuous, bounded function such that  $h(0) = 0$ ;
- $(h_2)$  there are  $0 < a < b$  such that  $h(s) \leq 0$  for all  $s \in [a, b]$ .

Due to  $(h_1)$ , we may extend  $h$  continuously to the whole  $\mathbb{R}$ , putting  $h(s) = 0$  for all  $s \leq 0$ .

We introduce the energy functional  $E_h : H^1(\mathbb{R}^N) \rightarrow \mathbb{R}$  associated with problem  $(P_h)$ , defined by

$$E_h(u) = \frac{1}{2} \|u\|_{H^1}^2 - \int_{\mathbb{R}^N} Q(x)H(u(x)) dx, \quad u \in H^1(\mathbb{R}^N),$$

where  $H(s) = \int_0^s h(t) dt$ ,  $s \in \mathbb{R}$ . One can easily show that  $E_h$  is well defined; indeed, by the mean value theorem, we have

$$\int_{\mathbb{R}^N} Q(x)|H(u(x))| dx \leq M_h \|Q\|_{L^2} \|u\|_{L^2} < \infty, \quad u \in H^1(\mathbb{R}^N), \tag{6}$$

where  $M_h = \sup_{s \in \mathbb{R}} |h(s)|$ . Moreover, standard arguments show that  $E_h$  is of class  $C^1$  on  $H^1(\mathbb{R}^N)$ .

Now, we denote by  $H_{\text{rad}}^1(\mathbb{R}^N)$  the radial functions in  $H^1(\mathbb{R}^N)$ , and let

$$R_h = E_h|_{H_{\text{rad}}^1(\mathbb{R}^N)},$$

i.e., the restriction of  $E_h$  to  $H_{\text{rad}}^1(\mathbb{R}^N)$ . Finally, considering the number  $b \in \mathbb{R}$  from  $(h_2)$ , we introduce

$$W^b = \{u \in H^1(\mathbb{R}^N) : \|u\|_{L^\infty} \leq b\} \quad \text{and} \quad W_{\text{rad}}^b = W^b \cap H_{\text{rad}}^1(\mathbb{R}^N).$$

The main result of this section can be stated as follows.

**Theorem 2.1.** *Assume that  $(h_1)$ ,  $(h_2)$  and (Q) hold. Then*

- (i) *the functional  $R_h$  is bounded from below on  $W_{\text{rad}}^b$  and its infimum is attained at  $u_h \in W_{\text{rad}}^b$ ;*
- (ii)  *$u_h(x) \in [0, a]$  for a.e.  $x \in \mathbb{R}^N$ ;*
- (iii)  *$u_h$  is a weak solution of  $(P_h)$ .*

**Proof.** (i) Actually,  $R_h$  is bounded from below on the whole  $H_{\text{rad}}^1(\mathbb{R}^N)$ . Indeed, due to (6), for all  $u \in H_{\text{rad}}^1(\mathbb{R}^N)$  we have

$$\begin{aligned} R_h(u) &= \frac{1}{2} \|u\|_{H^1}^2 - \int_{\mathbb{R}^N} Q(x)H(u) dx \geq \frac{1}{2} \|u\|_{H^1}^2 - M_h \|Q\|_{L^2} \|u\|_{H^1} \\ &\geq -\frac{1}{2} M_h^2 \|Q\|_{L^2}^2. \end{aligned}$$

Now, we prove that  $R_h$  attains its infimum on  $W_{\text{rad}}^b$ . Note that  $W_{\text{rad}}^b$  is convex and closed in  $H_{\text{rad}}^1(\mathbb{R}^N)$ , thus weakly closed. Due to the boundedness from below of  $R_h$  on  $W_{\text{rad}}^b$ , it is enough to prove that  $R_h$  is sequentially weakly lower semicontinuous. The latter fact follows at once if we prove that  $u \mapsto \int_{\mathbb{R}^N} Q(x)H(u) dx$ ,  $u \in H_{\text{rad}}^1(\mathbb{R}^N)$ , is sequentially weakly continuous. We argue

by contradiction; let  $\{u_i\}_i \subset H^1_{\text{rad}}(\mathbb{R}^N)$  be a sequence which converges weakly to  $u \in H^1_{\text{rad}}(\mathbb{R}^N)$  but, up to a subsequence, one can find a number  $\varepsilon_0 > 0$  such that

$$0 < \varepsilon_0 \leq \left| \int_{\mathbb{R}^N} Q(x)H(u_i) dx - \int_{\mathbb{R}^N} Q(x)H(u) dx \right| \quad \text{for all } i \in \mathbb{N},$$

and  $u_i$  converges strongly to  $u$  in  $L^q(\mathbb{R}^N)$ , for some  $q \in (2, 2^*)$ . Here, we employed the fact that  $H^1_{\text{rad}}(\mathbb{R}^N)$  is compactly embedded into  $L^q(\mathbb{R}^N)$  for all  $q \in (2, 2^*)$ . Using the mean value theorem and Hölder inequality, from the above inequality we deduce that

$$0 < \varepsilon_0 \leq M_h \int_{\mathbb{R}^N} Q(x)|u_i - u| \leq M_h \|Q\|_{L^{q/(q-1)}} \|u_i - u\|_{L^q}.$$

But the right-hand side tends to 0 as  $i \rightarrow \infty$ , contradicting  $\varepsilon_0 > 0$ . This proves (i); let  $u_h \in W^b_{\text{rad}}$  be a minimum point of  $R_h$  over  $W^b_{\text{rad}}$ .

(ii) Let  $A = \{x \in \mathbb{R}^N : u_h(x) \notin [0, a]\}$  and suppose that  $\text{meas}(A) > 0$ . Define the function  $\gamma : \mathbb{R} \rightarrow \mathbb{R}$  by  $\gamma(s) = \min(s_+, a)$ , where  $s_+ = \max(s, 0)$ . Now, set  $w = \gamma \circ u_h$ . Since  $\gamma$  is a Lipschitz function and  $\gamma(0) = 0$ , the theorem of Marcus and Mizel [11] shows that  $w \in H^1(\mathbb{R}^N)$ . In addition,  $w$  is radial, since  $u_h \in H^1_{\text{rad}}(\mathbb{R}^N)$ . Thus  $w \in H^1_{\text{rad}}(\mathbb{R}^N)$ . Moreover, by definition,  $0 \leq w(x) \leq a$  for a.e.  $\mathbb{R}^N$ .

We introduce the sets

$$A_1 = \{x \in A : u_h(x) < 0\} \quad \text{and} \quad A_2 = \{x \in A : u_h(x) > a\}.$$

Thus,  $A = A_1 \cup A_2$ , and we have that  $w(x) = u_h(x)$  for all  $x \in \mathbb{R}^N \setminus A$ ,  $w(x) = 0$  for all  $x \in A_1$ , and  $w(x) = a$  for all  $x \in A_2$ . Moreover, we have

$$\begin{aligned} R_h(w) - R_h(u_h) &= \frac{1}{2} [\|w\|^2_{H^1} - \|u_h\|^2_{H^1}] - \int_{\mathbb{R}^N} Q(x)[H(w) - H(u_h)] \\ &= -\frac{1}{2} \int_A |\nabla u_h|^2 + \frac{1}{2} \int_A [w^2 - u_h^2] - \int_A Q(x)[H(w) - H(u_h)]. \end{aligned}$$

Note that

$$\int_A [w^2 - u_h^2] = - \int_{A_1} u_h^2 + \int_{A_2} [a^2 - u_h^2] \leq 0.$$

Due to the fact that  $h(s) = 0$  for all  $s \leq 0$ , one has

$$\int_{A_1} Q(x)[H(w) - H(u_h)] = 0.$$

By the mean value theorem, for a.e.  $x \in A_2$ , there exists  $\theta(x) \in [a, u_h(x)] \subseteq [a, b]$  such that

$$H(w(x)) - H(u_h(x)) = H(a) - H(u_h(x)) = h(\theta(x))(a - u_h(x)).$$

Thus, on account of  $(h_2)$ , one has

$$\int_{A_2} Q(x)[H(w) - H(u_h)] \geq 0.$$

Consequently, every term of the expression  $R_h(w) - R_h(u_h)$  is non-positive. On the other hand, since  $w \in W_{\text{rad}}^b$ , then  $R_h(w) \geq R_h(u_h) = \inf_{W_{\text{rad}}^b} R_h$ . So, every term in  $R_h(w) - R_h(u_h)$  should be zero. In particular,

$$\int_{A_1} u_h^2 = \int_{A_2} [a^2 - u_h^2] = 0,$$

which imply that  $\text{meas}(A)$  should be 0, contradicting our assumption.

(iii) We divide this part into two steps.

*Step 1.*  $E'_h(u_h)(w - u_h) \geq 0$  for every  $w \in W^b$ .

Standard argument shows that  $W^b$  is closed and convex in  $H^1(\mathbb{R}^N)$ . Let  $\zeta_{W^b}$  be the indicator function of the set  $W^b$  (i.e.,  $\zeta_{W^b}(u) = 0$  if  $u \in W^b$ , and  $\zeta_{W^b}(u) = +\infty$ , otherwise). Since  $E_h$  is of class  $C^1$  on  $H^1(\mathbb{R}^N)$ , and  $\zeta_{W^b}$  is convex, lower semicontinuous and proper (i.e.,  $\neq +\infty$ ), we may define the Szulkin-type functional  $I : H^1(\mathbb{R}^N) \rightarrow \mathbb{R} \cup \{+\infty\}$  by  $I = E_h + \zeta_{W^b}$ , see Appendix A. Since  $W_{\text{rad}}^b = W^b \cap H^1_{\text{rad}}(\mathbb{R}^N)$ , the restriction of  $\zeta_{W^b}$  to  $H^1_{\text{rad}}(\mathbb{R}^N)$  is precisely the indicator function  $\zeta_{W_{\text{rad}}^b}$  of the set  $W_{\text{rad}}^b$ . Recall that  $u_h$  is a local minimum point of  $R_h$  relative to  $W_{\text{rad}}^b$  (see (i)), thus a local minimum point of the Szulkin-type functional  $\tilde{I} : H^1_{\text{rad}}(\mathbb{R}^N) \rightarrow \mathbb{R} \cup \{+\infty\}$ , defined by  $\tilde{I} = R_h + \zeta_{W_{\text{rad}}^b}$ . Due to Proposition A.1 (see Appendix A),  $u_h$  is a critical point of  $\tilde{I}$ , i.e.,

$$0 \in R'_h(u_h) + \partial\zeta_{W_{\text{rad}}^b}(u_h) \quad \text{in } (H^1_{\text{rad}}(\mathbb{R}^N))^*. \tag{7}$$

On the other hand, we introduce the action of the orthogonal group  $G = O(N)$  on  $H^1(\mathbb{R}^N)$  by

$$(gu)(x) = u(g^{-1}x) \quad \text{for all } g \in O(N), u \in H^1(\mathbb{R}^N), x \in \mathbb{R}^N.$$

Clearly, this action is linear and continuous on  $H^1(\mathbb{R}^N)$ . Since the potential  $Q : \mathbb{R}^N \rightarrow \mathbb{R}$  is radial, one can easily check that the functional  $E_h$  is  $O(N)$ -invariant. Moreover, due to the fact that the set  $W^b$  is  $O(N)$ -invariant, the functional  $\zeta_{W^b}$  is  $O(N)$ -invariant as well. The set  $H^1_{\text{rad}}(\mathbb{R}^N)$  is exactly the subspace of  $O(N)$ -symmetric points of  $H^1(\mathbb{R}^N)$ . Therefore, on account of (7) and Theorem A.1 from Appendix A, we obtain

$$0 \in E'_h(u_h) + \partial\zeta_{W^b}(u_h) \quad \text{in } (H^1(\mathbb{R}^N))^*.$$



Consequently, for every  $w \in H^1(\mathbb{R}^N)$ , we have

$$E'_h(u_h)(w - u_h) + \zeta_{W^b}(w) - \zeta_{W^b}(u_h) \geq 0,$$

which implies our claim.

*Step 2. (Proof concluded)  $u_h$  is a weak solution of  $(P_h)$ .*

First of all, by a Strauss-type inequality (see for instance Willem [16, p. 76]), we have that  $u_h(x) \rightarrow 0$  as  $|x| \rightarrow \infty$ . It remains to prove that

$$\int_{\mathbb{R}^N} \nabla u_h \nabla v + \int_{\mathbb{R}^N} u_h v - \int_{\mathbb{R}^N} Q(x)h(u_h)v = 0 \quad \text{for all } v \in H^1(\mathbb{R}^N). \tag{8}$$

By Step 1, we have

$$\int_{\mathbb{R}^N} \nabla u_h \nabla (w - u_h) + \int_{\mathbb{R}^N} u_h (w - u_h) - \int_{\mathbb{R}^N} Q(x)h(u_h)(w - u_h) \geq 0, \quad \forall w \in W^b.$$

Let us define the function  $\gamma(s) = \text{sgn}(s) \min(|s|, b)$ , and fix  $\varepsilon > 0$  and  $v \in H^1(\mathbb{R}^N)$  arbitrarily. Since  $\gamma$  is Lipschitz continuous and  $\gamma(0) = 0$ , the element  $w_\gamma = \gamma \circ (u_h + \varepsilon v)$  belongs to  $H^1(\mathbb{R}^N)$ , see Marcus and Mizel [11]. The explicit expression of the truncation function  $w_\gamma$  is

$$w_\gamma(x) = \begin{cases} -b, & \text{if } x \in \{u_h + \varepsilon v < -b\}, \\ u_h(x) + \varepsilon v(x), & \text{if } x \in \{-b \leq u_h + \varepsilon v < b\}, \\ b, & \text{if } x \in \{b \leq u_h + \varepsilon v\}. \end{cases}$$

Therefore,  $w_\gamma \in W^b$ . Taking  $w = w_\gamma$  as a test function in the previous inequality, we obtain

$$\begin{aligned} 0 \leq & - \int_{\{u_h + \varepsilon v < -b\}} [|\nabla u_h|^2 + u_h(b + u_h) - Q(x)h(u_h)(b + u_h)] \\ & + \varepsilon \int_{\{-b \leq u_h + \varepsilon v < b\}} [\nabla u_h \nabla v + u_h v - Q(x)h(u_h)v] \\ & - \int_{\{b \leq u_h + \varepsilon v\}} [|\nabla u_h|^2 - u_h(b - u_h) + Q(x)h(u_h)(b - u_h)]. \end{aligned}$$

After a suitable rearrangement of the terms in this inequality, we obtain that

$$\begin{aligned} 0 \leq & \varepsilon \int_{\mathbb{R}^N} \nabla u_h \nabla v + \varepsilon \int_{\mathbb{R}^N} u_h v - \varepsilon \int_{\mathbb{R}^N} Q(x)h(u_h)v \\ & - \int_{\{u_h + \varepsilon v < -b\}} |\nabla u_h|^2 - \int_{\{b \leq u_h + \varepsilon v\}} |\nabla u_h|^2 \end{aligned}$$

$$\begin{aligned}
 &+ \int_{\{u_h + \varepsilon v < -b\}} [Q(x)h(u_h) - u_h](b + u_h + \varepsilon v) \\
 &+ \int_{\{b \leq u_h + \varepsilon v\}} [Q(x)h(u_h) - u_h](-b + u_h + \varepsilon v) \\
 &- \varepsilon \int_{\{u_h + \varepsilon v < -b\}} \nabla u_h \nabla v - \varepsilon \int_{\{b \leq u_h + \varepsilon v\}} \nabla u_h \nabla v.
 \end{aligned}$$

Recalling the notation  $M_h = \sup_{s \in \mathbb{R}} |h(s)| < \infty$ , and taking into account that  $u_h(x) \in [0, a] \subset [-b, b]$  for a.e.  $x \in \mathbb{R}^N$ , we have

$$\int_{\{u_h + \varepsilon v < -b\}} [Q(x)h(u_h) - u_h](b + u_h + \varepsilon v) \leq -\varepsilon \int_{\{u_h + \varepsilon v < -b\}} [M_h Q(x) + u_h(x)]v(x) dx$$

and

$$\int_{\{b \leq u_h + \varepsilon v\}} [Q(x)h(u_h) - u_h](-b + u_h + \varepsilon v) \leq \varepsilon M_h \int_{\{b \leq u_h + \varepsilon v\}} Q(x)v(x) dx.$$

Using the above estimates and dividing by  $\varepsilon > 0$ , we obtain

$$\begin{aligned}
 0 \leq &\int_{\mathbb{R}^N} \nabla u_h \nabla v + \int_{\mathbb{R}^N} u_h v - \int_{\mathbb{R}^N} Q(x)h(u_h)v - \int_{\{u_h + \varepsilon v < -b\}} [\nabla u_h \nabla v + u_h v + M_h Q(x)v] \\
 &- \int_{\{b \leq u_h + \varepsilon v\}} [\nabla u_h \nabla v - M_h Q(x)v].
 \end{aligned}$$

Now, letting  $\varepsilon \rightarrow 0^+$ , and taking into account (ii), that is,  $0 \leq u_h(x) \leq a$  for a.e.  $x \in \mathbb{R}^N$ , we have

$$\text{meas}(\{u_h + \varepsilon v < -b\}) \rightarrow 0 \quad \text{and} \quad \text{meas}(\{b \leq u_h + \varepsilon v\}) \rightarrow 0,$$

respectively. Consequently, the above inequality reduces to

$$0 \leq \int_{\mathbb{R}^N} \nabla u_h \nabla v + \int_{\mathbb{R}^N} u_h v - \int_{\mathbb{R}^N} Q(x)h(u_h)v.$$

Putting  $(-v)$  instead of  $v$ , we arrive to (8), i.e.,  $u_h$  is a weak solution of  $(P_h)$ . This ends the proof.  $\square$

We conclude this section by constructing a special function which will be useful in the proof of our theorems. In the sequel,  $B_c$  denotes the closed  $N$ -dimensional ball with radius  $c > 0$  and center 0.

Fix  $\rho > 0$ . For any  $s > 0$  we introduce the function

$$w_s(x) = \begin{cases} 0, & \text{if } x \in \mathbb{R}^N \setminus B_\rho, \\ s, & \text{if } x \in B_{\rho/2}, \\ \frac{2s}{\rho}(\rho - |x|), & \text{if } x \in B_\rho \setminus B_{\rho/2}. \end{cases} \tag{9}$$

It is clear that  $w_s \in H^1_{\text{rad}}(\mathbb{R}^N)$  and

$$\|w_s\|_{H^1}^2 \leq K(\rho)s^2, \tag{10}$$

where  $K(\rho) = (4 + \rho^2)\rho^{N-2}\omega_N$ , and  $\omega_N$  denotes the volume of the  $N$ -dimensional unit ball.

### 3. Proof of Theorems 1.1 and 1.2

Due to  $(f_2^0)$  and to the continuity of  $f$  and  $g$ , we may fix the positive sequences  $\{a_i\}_i, \{b_i\}_i$ , and  $\{\varepsilon_i\}_i$  such that  $\lim_{i \rightarrow \infty} a_i = \lim_{i \rightarrow \infty} b_i = 0$ , and for all  $i \in \mathbb{N}$ ,

$$b_{i+1} < a_i < s_i < b_i < 1; \tag{11}$$

$$f(s) + \varepsilon g(s) \leq 0 \quad \text{for all } s \in [a_i, b_i] \text{ and } \varepsilon \in [-\varepsilon_i, \varepsilon_i]. \tag{12}$$

For every  $i \in \mathbb{N}$ , we define the truncation functions  $f_i, g_i : [0, \infty) \rightarrow \mathbb{R}$  by

$$f_i(s) = f(\min(s, b_i)) \quad \text{and} \quad g_i(s) = g(\min(s, b_i)). \tag{13}$$

By  $(f_1^0)$  and  $(f_2^0)$  we have  $f(0) = 0$ . Since  $f_i(0) = g_i(0) = 0$ , we may extend continuously the functions  $f_i$  and  $g_i$  to the whole real line, taking 0 for negative arguments. For every  $s \in \mathbb{R}$  and  $i \in \mathbb{N}$ , let  $F_i(s) = \int_0^s f_i(t) dt$  and  $G_i(s) = \int_0^s g_i(t) dt$ .

For every  $i \in \mathbb{N}$  and  $\varepsilon \in [-\varepsilon_i, \varepsilon_i]$  the function  $h_{i,\varepsilon}^0 : [0, \infty) \rightarrow \mathbb{R}$  defined by  $h_{i,\varepsilon}^0 = f_i + \varepsilon g_i$  is continuous, bounded, and  $h_{i,\varepsilon}^0(0) = 0$ . On account of relations (12) and (13), we have  $h_{i,\varepsilon}^0(s) \leq 0$  for all  $s \in [a_i, b_i]$ . Thus, we may apply Theorem 2.1 to the function  $h_{i,\varepsilon}^0$  obtaining that for every  $i \in \mathbb{N}$  and  $\varepsilon \in [-\varepsilon_i, \varepsilon_i]$ , the problem

$$\begin{cases} -\Delta u + u = Q(x)h_{i,\varepsilon}^0(u), & x \in \mathbb{R}^N, \\ u(x) \rightarrow 0 & \text{as } |x| \rightarrow \infty, \end{cases} \tag{P_{i,\varepsilon}^0}$$

has a radially symmetric, weak solution  $u_{i,\varepsilon}^0 \in H^1(\mathbb{R}^N)$  such that

$$u_{i,\varepsilon}^0 \in [0, a_i] \quad \text{for a.e. } x \in \mathbb{R}^N; \tag{14}$$

$$u_{i,\varepsilon}^0 \text{ is the infimum of the functional } R_i^\varepsilon \text{ on } W_{\text{rad}}^{b_i}, \tag{15}$$

where

$$R_i^\varepsilon(u) = \frac{1}{2}\|u\|_{H^1}^2 - \int_{\mathbb{R}^N} Q(x)[F_i(u) + \varepsilon G_i(u)], \quad u \in H^1_{\text{rad}}(\mathbb{R}^N). \tag{16}$$

Due to (13) and (14),  $u_{i,\varepsilon}^0$  is a weak solution not only for  $(P_{i,\varepsilon}^0)$  but also for our problem  $(P_\varepsilon)$ . Consequently, it remains to prove that

- (I<sub>0</sub>) there are infinitely many distinct elements in the sequence  $\{u_{i,0}^0\}_i$  verifying (1), see Theorem 1.1;
- (II<sub>0</sub>) for every  $k \in \mathbb{N}$ , there are at least  $k$  distinct elements  $u_{i,\varepsilon}^0$  verifying (2) when  $\varepsilon$  belongs to a certain interval around the origin, see Theorem 1.2.

**Proof of (I<sub>0</sub>); Theorem 1.1 concluded.** For abbreviation, take  $u_i^0 = u_{i,0}^0$  and  $R_i = R_i^0$  for every  $i \in \mathbb{N}$ . We first prove that

$$R_i(u_i^0) < 0 \quad \text{for all } i \in \mathbb{N}; \tag{17}$$

$$\lim_{i \rightarrow \infty} R_i(u_i^0) = 0. \tag{18}$$

The left side of  $(f_1^0)$  implies the existence of  $l_0 > 0$  and  $\delta \in (0, b_1)$  such that

$$F(s) \geq -l_0 s^2 \quad \text{for all } s \in (0, \delta). \tag{19}$$

Let  $L_0 > 0$  be large enough such that

$$\frac{1}{2}K(\rho) + l_0 \|Q\|_{L^1} < L_0(\rho/2)^N \omega_N \min_{B_{\rho/2}} Q, \tag{20}$$

where  $\rho > 0$  and  $K(\rho)$  come from (10). Taking into account the right side of  $(f_1^0)$ , there is a sequence  $\{\tilde{s}_i\}_i \subset (0, \delta)$  such that  $\tilde{s}_i \leq a_i$  and  $F(\tilde{s}_i) > L_0 \tilde{s}_i^2$  for all  $i \in \mathbb{N}$ . Let  $i \in \mathbb{N}$  fixed and  $w_{\tilde{s}_i} \in H_{\text{rad}}^1(\mathbb{R}^N)$  be the function from (9) corresponding to the value  $\tilde{s}_i > 0$ . Then  $w_{\tilde{s}_i} \in W_{\text{rad}}^{b_i}$ , and on account of (10) and (19) one has

$$\begin{aligned} R_i(w_{\tilde{s}_i}) &= \frac{1}{2} \|w_{\tilde{s}_i}\|_{H^1}^2 - \int_{\mathbb{R}^N} Q(x) F_i(w_{\tilde{s}_i}(x)) \, dx \\ &= \frac{1}{2} \|w_{\tilde{s}_i}\|_{H^1}^2 - F(\tilde{s}_i) \int_{B_{\rho/2}} Q(x) \, dx - \int_{B_\rho \setminus B_{\rho/2}} Q(x) F(w_{\tilde{s}_i}(x)) \, dx \\ &\leq \left[ \frac{1}{2} K(\rho) - L_0(\rho/2)^N \omega_N \min_{B_{\rho/2}} Q + l_0 \|Q\|_{L^1} \right] \tilde{s}_i^2. \end{aligned}$$

Consequently, using (20), we obtain that

$$R_i(u_i^0) = \min_{W_{\text{rad}}^{b_i}} R_i \leq R_i(w_{\tilde{s}_i}) < 0, \tag{21}$$

which proves in particular (17). Now, let us prove (18). For every  $i \in \mathbb{N}$ , by using the mean value theorem, (11), (13) and (14), we have

$$R_i(u_i^0) \geq - \int_{\mathbb{R}^N} Q(x) F_i(u_i^0(x)) dx \geq -\|Q\|_{L^1} \max_{s \in [0,1]} |f(s)| a_i.$$

Taking into account that  $\lim_{i \rightarrow \infty} a_i = 0$ , the above inequality and (21) leads to (18).

Due to (13) and (14), we observe that

$$R_i(u_i^0) = R_1(u_i^0) \quad \text{for all } i \in \mathbb{N}.$$

Combining this relation with (17) and (18), we see that the sequence  $\{u_i^0\}_i$  contains infinitely many distinct elements.

It remains to prove relation (1). The first limit easily follows by (14), i.e.  $\|u_i^0\|_{L^\infty} \leq a_i$  for all  $i \in \mathbb{N}$ , combined with  $\lim_{i \rightarrow \infty} a_i = 0$ . For the second limit, we use (21), (11), (13) and (14), obtaining for all  $i \in \mathbb{N}$  that

$$\frac{1}{2} \|u_i^0\|_{H^1}^2 < \|Q\|_{L^1} \max_{s \in [0,1]} |f(s)| a_i,$$

which concludes the proof of Theorem 1.1.  $\square$

**Proof of  $(\Pi_0)$ ; Theorem 1.2 concluded.** Let  $\{\theta_i\}_i$  be a sequence with negative terms such that  $\lim_{i \rightarrow \infty} \theta_i = 0$ . By (18) and (21), we clear have that  $\lim_{i \rightarrow \infty} R_i(w_{\tilde{s}_i}) = 0$ . Thus, up to a subsequence, we may assume that the sequence  $\{(\theta_i, R_i(u_i^0), R_i(w_{\tilde{s}_i}), a_i)\}_i \subset \mathbb{R}^4$  which converges to  $0_{\mathbb{R}^4}$ , has the property that for all  $i \in \mathbb{N}$ ,

$$\theta_i < R_i(u_i^0) \leq R_i(w_{\tilde{s}_i}) < \theta_{i+1}; \tag{22}$$

$$a_i < \min\left(\frac{1}{i}, \frac{1}{2i^2 \|Q\|_{L^1} [\max_{[0,1]} |f| + \max_{[0,1]} |g| + 1]}\right). \tag{23}$$

Let us denote

$$\varepsilon'_i = \frac{\theta_{i+1} - R_i(w_{\tilde{s}_i})}{\|Q\|_{L^1} [\max_{[0,1]} |g| + 1]} \quad \text{and} \quad \varepsilon''_i = \frac{R_i(u_i^0) - \theta_i}{\|Q\|_{L^1} [\max_{[0,1]} |g| + 1]}, \quad i \in \mathbb{N}.$$

Fix  $k \in \mathbb{N}$ . On account of (22),

$$\varepsilon_k^0 = \min(1, \varepsilon_1, \dots, \varepsilon_k, \varepsilon'_1, \dots, \varepsilon'_k, \varepsilon''_1, \dots, \varepsilon''_k) > 0.$$

Then, for every  $i \in \{1, \dots, k\}$  and  $\varepsilon \in [-\varepsilon_k^0, \varepsilon_k^0]$  we have

$$\begin{aligned} R_i^\varepsilon(u_{i,\varepsilon}^0) &\leq R_i^\varepsilon(w_{\tilde{s}_i}) \quad (\text{see (15)}) \\ &= R_i(w_{\tilde{s}_i}) - \varepsilon \int_{\mathbb{R}^N} Q(x) G_i(w_{\tilde{s}_i}) \\ &< \theta_{i+1} \quad (\text{see the choice of } \varepsilon'_i \text{ and (11)}), \end{aligned}$$

and taking into account that  $u_{i,\varepsilon}^0$  belongs to  $W_{\text{rad}}^{b_i}$ , and  $u_i^0$  is the minimum point of  $R_i$  over the set  $W_{\text{rad}}^{b_i}$ , see relation (15) for  $\varepsilon = 0$ , we have

$$\begin{aligned} R_i^\varepsilon(u_{i,\varepsilon}^0) &= R_i(u_{i,\varepsilon}^0) - \varepsilon \int_{\mathbb{R}^N} Q(x)G_i(u_{i,\varepsilon}^0) \\ &\geq R_i(u_i^0) - \varepsilon \int_{\mathbb{R}^N} Q(x)G_i(u_{i,\varepsilon}^0) \\ &> \theta_i \quad (\text{see the choice of } \varepsilon_i'' \text{ and (11)).} \end{aligned}$$

In conclusion, for every  $i \in \{1, \dots, k\}$  and  $\varepsilon \in [-\varepsilon_k^0, \varepsilon_k^0]$  we have

$$\theta_i < R_i^\varepsilon(u_{i,\varepsilon}^0) < \theta_{i+1},$$

thus

$$R_1^\varepsilon(u_{1,\varepsilon}^0) < \dots < R_k^\varepsilon(u_{k,\varepsilon}^0).$$

But,  $u_{i,\varepsilon}^0 \in W_{\text{rad}}^{b_i}$  for every  $i \in \{1, \dots, k\}$ , so  $R_i^\varepsilon(u_{i,\varepsilon}^0) = R_1^\varepsilon(u_{i,\varepsilon}^0)$ , see relation (13). Therefore, from above, we obtain that for every  $\varepsilon \in [-\varepsilon_k^0, \varepsilon_k^0]$ ,

$$R_1^\varepsilon(u_{1,\varepsilon}^0) < \dots < R_1^\varepsilon(u_{k,\varepsilon}^0).$$

In particular, this fact shows that the elements  $u_{1,\varepsilon}^0, \dots, u_{k,\varepsilon}^0$  are distinct whenever  $\varepsilon \in [-\varepsilon_k^0, \varepsilon_k^0]$ .

It remains to prove relation (2). The first relation directly follows by (14) and (23). To check the second limit, we observe that for every  $i \in \{1, \dots, k\}$  and  $\varepsilon \in [-\varepsilon_k^0, \varepsilon_k^0]$ ,

$$R_1^\varepsilon(u_{i,\varepsilon}^0) = R_i^\varepsilon(u_{i,\varepsilon}^0) < \theta_{i+1} < 0.$$

Consequently, for every  $i \in \{1, \dots, k\}$  and  $\varepsilon \in [-\varepsilon_k^0, \varepsilon_k^0]$ , by a mean value theorem we obtain

$$\begin{aligned} \frac{1}{2} \|u_{i,\varepsilon}^0\|_{H^1}^2 &< \int_{\mathbb{R}^N} Q(x)[F_i(u_{i,\varepsilon}^0) + \varepsilon G_i(u_{i,\varepsilon}^0)] \\ &\leq \|Q\|_{L^1} \left[ \max_{[0,1]} |f| + \max_{[0,1]} |g| \right] a_i \quad (\text{see (11), (14) and } \varepsilon_k^0 \leq 1) \\ &< \frac{1}{2i^2} \quad (\text{see (23)}), \end{aligned}$$

which concludes the proof of Theorem 1.2.  $\square$

#### 4. Proof of Theorems 1.3 and 1.4

The left side of  $(f_1^\infty)$  implies the existence of  $l_\infty > 0$  and  $\delta > 0$  such that

$$F(s) \geq -l_\infty s^2 \quad \text{for all } s > \delta. \tag{24}$$

Fix a number  $L_\infty > 0$  large enough such that

$$\frac{1}{2}K(\rho) + l_\infty \|Q\|_{L^1} < L_\infty (\rho/2)^N \omega_N \min_{B_{\rho/2}} Q, \tag{25}$$

where  $\rho > 0$  and  $K(\rho)$  are from (10). The right side of  $(f_1^\infty)$  implies the existence of a sequence  $\{\tilde{s}_i\}_i \subset (0, \infty)$  such that  $\lim_{i \rightarrow \infty} \tilde{s}_i = \infty$ , and

$$F(\tilde{s}_i) > L_\infty \tilde{s}_i^2 \quad \text{for all } i \in \mathbb{N}. \tag{26}$$

Since  $\lim_{i \rightarrow \infty} s_i = \infty$ , see  $(f_2^\infty)$ , we may fix a subsequence  $\{s_{m_i}\}_i$  of  $\{s_i\}_i$  such that  $\tilde{s}_i \leq s_{m_i}$  for all  $i \in \mathbb{N}$ . Due to the continuity of  $f$  and  $g$ , we may fix the positive sequences  $\{a_i\}_i$ ,  $\{b_i\}_i$ , and  $\{\varepsilon_i\}_i$  such that  $\lim_{i \rightarrow \infty} a_i = \lim_{i \rightarrow \infty} b_i = +\infty$ , and for all  $i \in \mathbb{N}$ ,

$$a_i < s_{m_i} < b_i < a_{i+1}; \tag{27}$$

$$f(s) + \varepsilon g(s) \leq 0 \quad \text{for all } s \in [a_i, b_i] \text{ and } \varepsilon \in [-\varepsilon_i, \varepsilon_i]. \tag{28}$$

In the same way as we did in (13), let us define the truncation functions  $f_i, g_i : [0, \infty) \rightarrow \mathbb{R}$  by

$$f_i(s) = f(\min(s, b_i)) \quad \text{and} \quad g_i(s) = g(\min(s, b_i)). \tag{29}$$

Since  $f_i(0) = g_i(0) = 0$ , we may extend continuously the functions  $f_i$  and  $g_i$  to the whole real line, taking 0 for negative arguments. For every  $s \in \mathbb{R}$  and  $i \in \mathbb{N}$ , let  $F_i(s) = \int_0^s f_i(t) dt$  and  $G_i(s) = \int_0^s g_i(t) dt$ .

For every  $i \in \mathbb{N}$  fixed and  $\varepsilon \in [-\varepsilon_i, \varepsilon_i]$  the function  $h_{i,\varepsilon}^\infty : [0, \infty) \rightarrow \mathbb{R}$  defined by  $h_{i,\varepsilon}^\infty = f_i + \varepsilon g_i$  is continuous, bounded, and  $h_{i,\varepsilon}^\infty(0) = 0$ . On account of relations (28) and (29), one has  $h_{i,\varepsilon}^\infty(s) \leq 0$  for all  $s \in [a_i, b_i]$ . Consequently, we may apply Theorem 2.1 to the function  $h_{i,\varepsilon}^\infty$  obtaining that for every  $i \in \mathbb{N}$  and  $\varepsilon \in [-\varepsilon_i, \varepsilon_i]$ , the problem

$$\begin{cases} -\Delta u + u = Q(x)h_{i,\varepsilon}^\infty(u), & x \in \mathbb{R}^N, \\ u(x) \rightarrow 0 & \text{as } |x| \rightarrow \infty, \end{cases} \tag{P_{i,\varepsilon}^\infty}$$

has a radially symmetric, weak solution  $u_{i,\varepsilon}^\infty \in H^1(\mathbb{R}^N)$  such that

$$u_{i,\varepsilon}^\infty \in [0, a_i] \quad \text{for a.e. } x \in \mathbb{R}^N; \tag{30}$$

$$u_{i,\varepsilon}^\infty \text{ is the infimum of the functional } R_i^\varepsilon \text{ on } W_{\text{rad}}^{b_i}, \tag{31}$$

where  $R_i^\varepsilon$  is defined exactly as in (16). Due to (29) and (30),  $u_{i,\varepsilon}^\infty$  is a weak solution not only for  $(P_{i,\varepsilon}^\infty)$  but also for the initial problem  $(P_\varepsilon)$ . Consequently, we have to prove that

- (I<sub>∞</sub>) there are infinitely many distinct elements in the sequence  $\{u_{i,0}^\infty\}_i$  verifying (3), see Theorem 1.3;
- (II<sub>∞</sub>) for every  $k \in \mathbb{N}$ , there are at least  $k$  distinct elements  $u_{i,\varepsilon}^\infty$  verifying (5) when  $\varepsilon$  belongs to a certain interval around the origin, see Theorem 1.4.

**Proof of (I<sub>∞</sub>); Theorem 1.3 concluded.** Let  $u_i^\infty = u_{i,0}^\infty$  and  $R_i = R_i^0$  for every  $i \in \mathbb{N}$ . We prove that

$$\lim_{i \rightarrow \infty} R_i(u_i^\infty) = -\infty. \tag{32}$$

Let  $i \in \mathbb{N}$  be fixed and  $w_{\tilde{s}_i} \in H_{\text{rad}}^1(\mathbb{R}^N)$  be the function from (9) corresponding to the value  $\tilde{s}_i > 0$ . Then  $w_{\tilde{s}_i} \in W_{\text{rad}}^{b_i}$ , and on account of (10), (24) and (26), one has

$$\begin{aligned} R_i(w_{\tilde{s}_i}) &= \frac{1}{2} \|w_{\tilde{s}_i}\|_{H^1}^2 - \int_{\mathbb{R}^N} Q(x) F_i(w_{\tilde{s}_i}(x)) dx \\ &= \frac{1}{2} \|w_{\tilde{s}_i}\|_{H^1}^2 - F(\tilde{s}_i) \int_{B_{\rho/2}} Q(x) dx - \int_{(B_\rho \setminus B_{\rho/2}) \cap \{w_{\tilde{s}_i} > \delta\}} Q(x) F(w_{\tilde{s}_i}(x)) dx \\ &\quad - \int_{(B_\rho \setminus B_{\rho/2}) \cap \{w_{\tilde{s}_i} \leq \delta\}} Q(x) F(w_{\tilde{s}_i}(x)) dx \\ &\leq \left[ \frac{1}{2} K(\rho) - L_\infty(\rho/2)^N \omega_N \min_{B_{\rho/2}} Q + l_\infty \|Q\|_{L^1} \right] \tilde{s}_i^2 + \|Q\|_{L^1} \max_{s \in [0, \delta]} |F(s)|. \end{aligned}$$

Using the fact that  $\lim_{i \rightarrow \infty} \tilde{s}_i = \infty$  and (25), we have that  $\lim_{i \rightarrow \infty} R_i(w_{\tilde{s}_i}) = -\infty$ . But,  $R_i(u_i^\infty) \leq R_i(w_{\tilde{s}_i})$  for all  $i \in \mathbb{N}$ , which implies (32).

Now, let us assume that in the sequence  $\{u_i^\infty\}_i$  there are only finitely many distinct elements, say  $\{u_1^\infty, \dots, u_{i_0}^\infty\}$  for some  $i_0 \in \mathbb{N}$ . Consequently, the sequence  $\{R_i(u_i^\infty)\}_i$  reduces mostly to the finite set  $\{R_{i_0}(u_1^\infty), \dots, R_{i_0}(u_{i_0}^\infty)\}$ , which contradicts relation (32).

It remains to prove (3). Arguing by contradiction assume there exists a subsequence  $\{u_{k_i}^\infty\}_i$  of  $\{u_i^\infty\}_i$  such that for all  $i \in \mathbb{N}$ , we have  $\|u_{k_i}^\infty\|_{L^\infty} \leq M$  for some  $M > 0$ . In particular,  $\{u_{k_i}^\infty\}_i \subset W_{\text{rad}}^{b_l}$  for some  $l \in \mathbb{N}$ . Thus, for every  $k_i \geq l$ , we have

$$\begin{aligned} R_l(u_l^\infty) &= \min_{W_{\text{rad}}^{b_l}} R_l = \min_{W_{\text{rad}}^{b_l}} R_{k_i} \\ &\geq \min_{W_{\text{rad}}^{b_{k_i}}} R_{k_i} = R_{k_i}(u_{k_i}^\infty) \\ &\geq \min_{W_{\text{rad}}^{b_l}} R_{k_i} \quad (\text{cf. hypothesis, } u_{k_i}^\infty \in W_{\text{rad}}^{b_l}) \\ &= R_l(u_l^\infty). \end{aligned}$$



As a consequence,

$$R_{k_i}(u_{k_i}^\infty) = R_l(u_l^\infty) \quad \text{for all } i \in \mathbb{N}. \tag{33}$$

But, the sequence  $\{R_i(u_i^\infty)\}_i$  is non-increasing; indeed, due to (31) and (29), for all  $i \in \mathbb{N}$ , one has

$$R_{i+1}(u_{i+1}^\infty) = \min_{W_{\text{rad}}^{b_{i+1}}} R_{i+1} \leq \min_{W_{\text{rad}}^{b_i}} R_{i+1} = \min_{W_{\text{rad}}^{b_i}} R_i = R_i(u_i^\infty).$$

Combining this latter fact with (33), one can find a number  $i_0 \in \mathbb{N}$  such that  $R_i(u_i^\infty) = R_l(u_l^\infty)$  for all  $i \geq i_0$ . This fact contradicts (32) which concludes the proof of Theorem 1.3.  $\square$

**Proof of (3') from Remark 1.4.** Assume that (4) holds for  $f$  with  $q \in (2, 2^*/2)$ . By contradiction, we assume that there exists a subsequence  $\{u_{k_i}^\infty\}_i$  of  $\{u_i^\infty\}_i$  such that for all  $i \in \mathbb{N}$ , we have  $\|u_{k_i}^\infty\|_{H^1} \leq \tilde{M}$  for some  $\tilde{M} > 0$ . Now, let us fix  $\alpha \in [2q, 2^*)$ . On account of (4) and the mean value theorem, we have

$$\begin{aligned} \left| \int_{\mathbb{R}^N} Q(x) F_{k_i}(u_{k_i}^\infty(x)) dx \right| &\leq c(\|Q\|_{L^2} \|u_{k_i}^\infty\|_{L^2} + \|Q\|_{L^{\alpha/(\alpha-q)}} \|u_{k_i}^\infty\|_{L^\alpha}^q) \\ &\leq c(\|Q\|_{L^2} \tilde{M} + \|Q\|_{L^{\alpha/(\alpha-q)}} C_\alpha^q \tilde{M}^q) < \infty, \end{aligned}$$

where  $C_\alpha > 0$  is the Sobolev embedding constant in  $H^1(\mathbb{R}^N) \hookrightarrow L^\alpha(\mathbb{R}^N)$ . Consequently, the sequence  $\{R_{k_i}(u_{k_i}^\infty)\}_i$  is bounded. Since the sequence  $\{R_i(u_i^\infty)\}_i$  is non-increasing, it will be bounded as well, which contradicts (32).  $\square$

**Proof of (II $_\infty$ ); Theorem 1.4 concluded.** Let  $\{\theta_i\}_i$  be a sequence with negative terms such that  $\lim_{i \rightarrow \infty} \theta_i = -\infty$ . On account of the proof of Theorem 1.3, up to a subsequence, we may assume that the sequence  $\{(\theta_i, R_i(u_i^\infty), R_i(w_{\tilde{s}_i}), a_i)\}_i \subset \mathbb{R}^4$  which converges to  $(-\infty, -\infty, -\infty, \infty)$ , has the property that for all  $i \in \mathbb{N}$ ,

$$\theta_{i+1} < R_i(u_i^\infty) \leq R_i(w_{\tilde{s}_i}) < \theta_i; \tag{34}$$

$$a_i \geq i. \tag{35}$$

Let us denote

$$\varepsilon'_i = \frac{\theta_i - R_i(w_{\tilde{s}_i})}{\|Q\|_{L^1} [\max_{[0, b_i]} |g| + 1] b_i} \quad \text{and} \quad \varepsilon''_i = \frac{R_i(u_i^\infty) - \theta_{i+1}}{\|Q\|_{L^1} [\max_{[0, b_i]} |g| + 1] b_i}, \quad i \in \mathbb{N}.$$

Fix  $k \in \mathbb{N}$ . Due to (34), we have

$$\varepsilon_k^\infty = \min(1, \varepsilon_1, \dots, \varepsilon_k, \varepsilon'_1, \dots, \varepsilon'_k, \varepsilon''_1, \dots, \varepsilon''_k) > 0.$$

Then, for every  $i \in \{1, \dots, k\}$  and  $\varepsilon \in [-\varepsilon_k^\infty, \varepsilon_k^\infty]$  we have

$$\begin{aligned}
 R_i^\varepsilon(u_{i,\varepsilon}^\infty) &\leq R_i^\varepsilon(w_{\tilde{s}_i}) \quad (\text{see (31)}) \\
 &= R_i(w_{\tilde{s}_i}) - \varepsilon \int_{\mathbb{R}^N} Q(x)G_i(w_{\tilde{s}_i}) \\
 &< \theta_i \quad (\text{see the choice of } \varepsilon'_i, \tilde{s}_i \leq s_{m_i} \text{ and (27)}),
 \end{aligned}$$

and since  $u_{i,\varepsilon}^\infty$  belongs to  $W_{\text{rad}}^{b_i}$ , and  $u_i^\infty$  is the minimum point of  $R_i$  on the set  $W_{\text{rad}}^{b_i}$ , see relation (31) for  $\varepsilon = 0$ , we have

$$\begin{aligned}
 R_i^\varepsilon(u_{i,\varepsilon}^\infty) &= R_i(u_{i,\varepsilon}^\infty) - \varepsilon \int_{\mathbb{R}^N} Q(x)G_i(u_{i,\varepsilon}^\infty) \\
 &\geq R_i(u_i^\infty) - \varepsilon \int_{\mathbb{R}^N} Q(x)G_i(u_{i,\varepsilon}^\infty) \\
 &> \theta_{i+1} \quad (\text{see the choice of } \varepsilon''_i, \tilde{s}_i \leq s_{m_i}, \text{ and (27)}).
 \end{aligned}$$

Thus, for every  $i \in \{1, \dots, k\}$  and  $\varepsilon \in [-\varepsilon_k^\infty, \varepsilon_k^\infty]$  we have

$$\theta_{i+1} < R_i^\varepsilon(u_{i,\varepsilon}^\infty) < \theta_i. \tag{36}$$

In particular,

$$R_k^\varepsilon(u_{k,\varepsilon}^\infty) < \dots < R_1^\varepsilon(u_{1,\varepsilon}^\infty) < 0. \tag{37}$$

By construction,  $u_{i,\varepsilon}^\infty \in W_{\text{rad}}^{b_k}$  for every  $i \in \{1, \dots, k\}$ , see (27); thus,  $R_i^\varepsilon(u_{i,\varepsilon}^\infty) = R_k^\varepsilon(u_{i,\varepsilon}^\infty)$ , see relation (29). Therefore, (37) implies that for every  $\varepsilon \in [-\varepsilon_k^\infty, \varepsilon_k^\infty]$ ,

$$R_k^\varepsilon(u_{k,\varepsilon}^\infty) < \dots < R_k^\varepsilon(u_{1,\varepsilon}^\infty) < 0.$$

In particular, the elements  $u_{1,\varepsilon}^\infty, \dots, u_{k,\varepsilon}^\infty$  are distinct whenever  $\varepsilon \in [-\varepsilon_k^\infty, \varepsilon_k^\infty]$ .

Now, we prove relation (5). Fix  $\varepsilon \in [-\varepsilon_k^\infty, \varepsilon_k^\infty]$ . First, since  $R_1^\varepsilon(u_{1,\varepsilon}^\infty) < 0 = R_1^\varepsilon(0)$ , then  $\|u_{1,\varepsilon}^\infty\|_{L^\infty} > 0$  which proves (5) for  $i = 1$ . We further prove that

$$\|u_{i,\varepsilon}^\infty\|_{L^\infty} > a_{i-1} \quad \text{for all } i \in \{2, \dots, k\}. \tag{38}$$

Let us assume that there exists an element  $i_0 \in \{2, \dots, k\}$  such that  $\|u_{i_0,\varepsilon}^\infty\|_{L^\infty} \leq a_{i_0-1}$ . Since  $a_{i_0-1} < b_{i_0-1}$ , then  $u_{i_0,\varepsilon}^\infty \in W_{\text{rad}}^{b_{i_0-1}}$ . Thus, on account of (31) and (29), we have

$$R_{i_0-1}^\varepsilon(u_{i_0-1,\varepsilon}^\infty) = \min_{W_{\text{rad}}^{b_{i_0-1}}} R_{i_0-1}^\varepsilon \leq R_{i_0-1}^\varepsilon(u_{i_0,\varepsilon}^\infty) = R_{i_0}^\varepsilon(u_{i_0,\varepsilon}^\infty),$$

which contradicts (37). Thus, (38) holds true which can be combined with (35), obtaining relation (5). This ends the proof of Theorem 1.4.  $\square$

**Proof of (5') from Remark 1.5.** Assume that both  $f$  and  $g$  verify (4) with  $q \in (2, 2^*/2)$ . Fix  $\alpha \in [2q, 2^*)$ . We may assume that the sequence  $\{\theta_i\}_i$  from (34) fulfills

$$\theta_i < -2c\|Q\|_{L^2}(i - 1) - 2c\|Q\|_{L^{\alpha/(\alpha-q)}}C_\alpha^q(i - 1)^q \quad \text{for all } i \in \mathbb{N}, \tag{39}$$

where  $c > 0$  comes from (4). Let us fix  $\varepsilon \in [-\varepsilon_k^\infty, \varepsilon_k^\infty]$ . We assume that  $\|u_{i_0, \varepsilon}^\infty\|_{H^1} \leq i_0 - 1$  for some  $i_0 \in \{1, \dots, k\}$ . Then, we have

$$\begin{aligned} \frac{1}{2}\|u_{i_0, \varepsilon}^\infty\|_{H^1}^2 &= R_{i_0}^\varepsilon(u_{i_0, \varepsilon}^\infty) + \int_{\mathbb{R}^N} Q(x)[F_{i_0}(u_{i_0, \varepsilon}^\infty) + \varepsilon G_{i_0}(u_{i_0, \varepsilon}^\infty)] \\ &< \theta_{i_0} + c(1 + |\varepsilon|)[\|Q\|_{L^2}\|u_{i_0, \varepsilon}^\infty\|_{H^1} + \|Q\|_{L^{\alpha/(\alpha-q)}}C_\alpha^q\|u_{i_0, \varepsilon}^\infty\|_{H^1}^q] \quad (\text{see (36)}) \\ &\leq \theta_{i_0} + 2c[\|Q\|_{L^2}(i_0 - 1) + \|Q\|_{L^{\alpha/(\alpha-q)}}C_\alpha^q(i_0 - 1)^q] \quad (\varepsilon_k^\infty \leq 1) \\ &< 0 \quad (\text{see (39)}), \end{aligned}$$

contradiction. Therefore, relation (5') is proved.  $\square$

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**Appendix A. Principle of symmetric criticality for Szulkin-type functionals**

The proof of our main results rely heavily in the principle of symmetric criticality for Szulkin-type functionals, which we state here for the sake of completeness. For further details, see the paper of Kobayashi and Ôtani [5].

Let  $X$  be a real Banach space and  $X^*$  its dual. Let  $E : X \rightarrow \mathbb{R}$  be a functional of class  $C^1$  and let  $\zeta : X \rightarrow \mathbb{R} \cup \{+\infty\}$  be a proper (i.e.  $\neq +\infty$ ), convex, lower semicontinuous function. Then,  $I = E + \zeta$  is a *Szulkin-type functional*, see [15]. An element  $u \in X$  is called a *critical point of  $I = E + \zeta$*  if

$$E'(u)(v - u) + \zeta(v) - \zeta(u) \geq 0 \quad \text{for all } v \in X, \tag{40}$$

or equivalently,

$$0 \in E'(u) + \partial\zeta(u) \quad \text{in } X^*,$$

where  $\partial\zeta(u)$  stands for the subdifferential of the convex functional  $\zeta$  at  $u \in X$ .

**Proposition A.1.** (See [15, p. 80].) *Every local minimum point of  $I = E + \zeta$  is a critical point of  $I$  in the sense of (40).*

Let  $G$  be a topological group acting linearly on  $X$ . We say that  $G$  acts *continuously on  $X$*  if the map  $(g, u) \mapsto gu$  from  $G \times X$  into  $X$  is continuous. A set  $M$  is called  *$G$ -invariant* if

$gM = \{gu : u \in M\} \subseteq M$  for every  $g \in G$ . A function  $h$  on  $X$  is called  $G$ -invariant if  $h(gu) = h(u)$  for every  $u \in X$  and  $g \in G$ . The linear subspace of  $G$ -symmetric points of  $X$  is defined by

$$\Sigma = \text{Fix}_G(X) = \{u \in X : gu = u \text{ for all } g \in G\}.$$

A special form of [5, Theorem 3.16] is the following result, known as the *principle of symmetric criticality for Szulkin functionals*.

**Theorem A.1.** *Let  $X$  be a reflexive Banach space and let  $I = E + \zeta : X \rightarrow \mathbb{R} \cup \{+\infty\}$  be a Szulkin-type functional on  $X$ . If a compact group  $G$  acts linearly and continuously on  $X$ , and the functionals  $E$  and  $\zeta$  are  $G$ -invariant, then the principle of symmetric criticality holds, i.e., fixing  $u \in \Sigma$ , we have*

$$0 \in (E|_{\Sigma})'(u) + \partial(\zeta|_{\Sigma})(u) \text{ in } \Sigma^* \implies 0 \in E'(u) + \partial\zeta(u) \text{ in } X^*.$$

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