

# A non-smooth three critical points theorem with applications in differential inclusions

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**Abstract** We extend a recent result of Ricceri concerning the existence of three critical points of certain non-smooth functionals. Two applications are given, both in the theory of differential inclusions; the first one concerns a non-homogeneous Neumann boundary value problem, the second one treats a quasilinear elliptic inclusion problem in the whole  $\mathbb{R}^N$ .

**Keywords** Locally Lipschitz functions · Critical points · Differential inclusions

## 1 Introduction and prerequisites

It is a simple exercise to show that a  $C^1$  function  $f : \mathbb{R} \rightarrow \mathbb{R}$  having two local minima has necessarily a third critical point. However, once we are dealing with functions defined on a multi-dimensional space, the problem becomes much deeper. Motivated mostly by various real-life phenomena coming from Mechanics and Mathematical Physics, the latter problem has been treated by several authors, see Pucci-Serrin [13], Ricceri [14–17], Marano-Motreanu [10], Arcoya-Carmona [1], Bonanno [3, 2], Bonanno-Candito [4].

The aim of the present paper is to give an extension of the very recent three critical points theorem of Ricceri [17] to locally Lipschitz functions, providing also two applications in partial differential inclusions; the first one for a non-homogeneous Neumann boundary value problem, the second one for a quasilinear elliptic inclusion problem in  $\mathbb{R}^N$ .

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In order to do that, we recall two results which are crucial in our further investigations. The first result is due to Ricceri [18] guaranteeing the existence of two local minima for a parametric functional defined on a Banach space. Note that no smoothness assumption is required on the functional.

**Theorem 1.1** ([18], Theorem 4) *Let  $X$  be a real, reflexive Banach space, let  $\Lambda \subseteq \mathbb{R}$  be an interval, and let  $\varphi : X \times \Lambda \rightarrow \mathbb{R}$  be a function satisfying the following conditions:*

1.  $\varphi(x, \cdot)$  is concave in  $\Lambda$  for all  $x \in X$ ;
2.  $\varphi(\cdot, \lambda)$  is continuous, coercive and sequentially weakly lower semicontinuous in  $X$  for all  $\lambda \in \Lambda$ ;
3.  $\beta_1 := \sup_{\lambda \in \Lambda} \inf_{x \in X} \varphi(x, \lambda) < \inf_{x \in X} \sup_{\lambda \in \Lambda} \varphi(x, \lambda) =: \beta_2$ .

*Then, for each  $\sigma > \beta_1$  there exists a non-empty open set  $\Lambda_0 \subset \Lambda$  with the following property: for every  $\lambda \in \Lambda_0$  and every sequentially weakly lower semicontinuous function  $\Phi : X \rightarrow \mathbb{R}$ , there exists  $\mu_0 > 0$  such that, for each  $\mu \in ]0, \mu_0[$ , the function  $\varphi(\cdot, \lambda) + \mu\Phi(\cdot)$  has at least two local minima lying in the set  $\{x \in X : \varphi(x, \lambda) < \sigma\}$ .*

The second main tool in our argument is the “zero-altitude” Mountain Pass Theorem for locally Lipschitz functionals, due to Motreanu-Varga [12]. Before giving this result, we are going to recall some basic properties of the generalized directional derivative as well as of the generalized gradient of a locally Lipschitz functional which will be used later.

Let  $(X, \|\cdot\|)$  be a Banach space.

**Definition 1.1** A function  $\Phi : X \rightarrow \mathbb{R}$  is locally Lipschitz if, for every  $x \in X$ , there exist a neighborhood  $U$  of  $x$  and a constant  $L > 0$  such that

$$|\Phi(y) - \Phi(z)| \leq L\|y - z\| \quad \text{for all } y, z \in U.$$

Although it is not necessarily differentiable in the classical sense, a locally Lipschitz function admits a derivative, defined as follows:

**Definition 1.2** The generalized directional derivative of  $\Phi$  at the point  $x \in X$  in the direction  $y \in X$  is

$$\Phi^\circ(x; y) = \limsup_{z \rightarrow x, \tau \rightarrow 0^+} \frac{\Phi(z + \tau y) - \Phi(z)}{\tau}.$$

The generalized gradient of  $\Phi$  at  $x \in X$  is the set

$$\partial\Phi(x) = \{x^* \in X^* : \langle x^*, y \rangle \leq \Phi^\circ(x; y) \text{ for all } y \in X\}.$$

For all  $x \in X$ , the functional  $\Phi^\circ(x, \cdot)$  is subadditive and positively homogeneous; thus, due to the Hahn–Banach theorem, the set  $\partial\Phi(x)$  is nonempty. In the sequel, we resume the main properties of the generalized directional derivatives.

**Lemma 1.1** [7] *Let  $\Phi, \Psi : X \rightarrow \mathbb{R}$  be locally Lipschitz functions. Then,*

- (a)  $\Phi^\circ(x; y) = \max\{\langle \xi, y \rangle : \xi \in \partial\Phi(x)\}$ ;
- (b)  $(\Phi + \Psi)^\circ(x; y) \leq \Phi^\circ(x; y) + \Psi^\circ(x; y)$ ;
- (c)  $(-\Phi)^\circ(x; y) = \Phi^\circ(x; -y)$ ; and  $\Phi^\circ(x; \lambda y) = \lambda\Phi^\circ(x; y)$  for every  $\lambda > 0$ ;
- (d) *The function  $(x, y) \mapsto \Phi^\circ(x; y)$  is upper semicontinuous.*

The next definition generalizes the notion of critical point to the non-smooth context:

**Definition 1.3** [6] A point  $x \in X$  is a critical point of  $\Phi : X \rightarrow \mathbb{R}$ , if  $0 \in \partial\Phi(x)$ , that is,

$$\Phi^\circ(x; y) \geq 0 \quad \text{for all } y \in X.$$

For every  $c \in \mathbb{R}$ , we denote by  $K_c = \{x \in X : 0 \in \partial\Phi(x), \Phi(x) = c\}$ .

*Remark 1.1* Note that every local extremum point of the locally Lipschitz function  $\Phi$  is a critical point of  $\Phi$  in the sense of Definition 1.3.

**Definition 1.4** The locally Lipschitz function  $\Phi : X \rightarrow \mathbb{R}$  satisfies the Palais–Smale condition at level  $c \in \mathbb{R}$  (shortly,  $(PS)_c$ -condition), if every sequence  $\{x_n\}$  in  $X$  such that

- $(PS_1)$   $\Phi(x_n) \rightarrow c$  as  $n \rightarrow \infty$ ;
- $(PS_2)$  there exists a sequence  $\{\varepsilon_n\}$  in  $]0, +\infty[$  with  $\varepsilon_n \rightarrow 0$  such that  $\Phi^\circ(x_n; y - x_n) + \varepsilon_n \|y - x_n\| \geq 0$  for all  $y \in X, n \in \mathbb{N}$ ,

admits a convergent subsequence.

We recall now the zero-altitude version of the Mountain Pass Theorem, due to Motreanu-Varga [12].

**Theorem 1.2** Let  $E : X \rightarrow \mathbb{R}$  be a locally Lipschitz function satisfying  $(PS)_c$  for all  $c \in \mathbb{R}$ . If there exist  $x_1, x_2 \in X, x_1 \neq x_2$  and  $r \in (0, \|x_2 - x_1\|)$  such that

$$\inf\{E(x) : \|x - x_1\| = r\} \geq \max\{E(x_1), E(x_2)\},$$

and we denote by  $\Gamma$  the family of continuous paths  $\gamma : [0, 1] \rightarrow X$  joining  $x_1$  and  $x_2$ , then

$$c := \inf_{\gamma \in \Gamma} \max_{s \in [0,1]} E(\gamma(s)) \geq \max\{E(x_1), E(x_2)\}$$

is a critical value for  $E$  and  $K_c \setminus \{x_1, x_2\} \neq \emptyset$ .

## 2 Main result: non-smooth Ricceri’s multiplicity theorem

For every  $\tau \geq 0$ , we introduce the following class of functions:

$$(\mathcal{G}_\tau) : g \in C^1(\mathbb{R}, \mathbb{R}) \text{ is bounded, and } g(t) = t \text{ for any } t \in [-\tau, \tau].$$

The main result of this paper is the following.

**Theorem 2.1** Let  $(X, \|\cdot\|)$  be a real reflexive Banach space and  $\tilde{X}_i$  ( $i = 1, 2$ ) be two Banach spaces such that the embeddings  $X \hookrightarrow \tilde{X}_i$  are compact. Let  $\Lambda$  be a real interval,  $h : [0, \infty) \rightarrow [0, \infty)$  be a non-decreasing convex function, and let  $\Phi_i : \tilde{X}_i \rightarrow \mathbb{R}$  ( $i = 1, 2$ ) be two locally Lipschitz functions such that  $E_{\lambda,\mu} = h(\|\cdot\|) + \lambda\Phi_1 + \mu g \circ \Phi_2$  restricted to  $X$  satisfies the  $(PS)_c$ -condition for every  $c \in \mathbb{R}, \lambda \in \Lambda, \mu \in [0, |\lambda| + 1]$  and  $g \in \mathcal{G}_\tau, \tau \geq 0$ . Assume that  $h(\|\cdot\|) + \lambda\Phi_1$  is coercive on  $X$  for all  $\lambda \in \Lambda$  and that there exists  $\rho \in \mathbb{R}$  such that

$$\sup_{\lambda \in \Lambda} \inf_{x \in X} [h(\|x\|) + \lambda(\Phi_1(x) + \rho)] < \inf_{x \in X} \sup_{\lambda \in \Lambda} [h(\|x\|) + \lambda(\Phi_1(x) + \rho)]. \tag{2.1}$$

Then, there exist a non-empty open set  $A \subset \Lambda$  and  $r > 0$  with the property that for every  $\lambda \in A$  there exists  $\mu_0 \in ]0, |\lambda| + 1]$  such that, for each  $\mu \in [0, \mu_0]$  the functional  $\mathcal{E}_{\lambda,\mu} = h(\|\cdot\|) + \lambda\Phi_1 + \mu\Phi_2$  has at least three critical points in  $X$  whose norms are less than  $r$ .

*Proof* Since  $h$  is a non-decreasing convex function,  $X \ni x \mapsto h(\|x\|)$  is also convex; thus,  $h(\|\cdot\|)$  is sequentially weakly lower semicontinuous on  $X$ , see Brézis [5, Corollaire III.8]. From the fact that the embeddings  $X \hookrightarrow \tilde{X}_i$  ( $i = 1, 2$ ) are compact and  $\Phi_i : \tilde{X}_i \rightarrow \mathbb{R}$  ( $i = 1, 2$ ) are locally Lipschitz functions, it follows that the function  $E_{\lambda,\mu}$  as well as  $\varphi : X \times \Lambda \rightarrow \mathbb{R}$  (in the first variable) given by

$$\varphi(x, \lambda) = h(\|x\|) + \lambda(\Phi_1(x) + \rho)$$

are sequentially weakly lower semicontinuous on  $X$ .

The function  $\varphi$  satisfies the hypotheses of Theorem 1.1. Fix  $\sigma > \sup_{\Lambda} \inf_X \varphi$  and consider a nonempty open set  $\Lambda_0$  with the property expressed in Theorem 1.1. Let  $A = [a, b] \subset \Lambda_0$ .

Fix  $\lambda \in [a, b]$ ; then, for every  $\tau \geq 0$  and  $g_\tau \in \mathcal{G}_\tau$ , there exists  $\mu_\tau > 0$  such that, for any  $\mu \in ]0, \mu_\tau[$ , the functional  $E_{\lambda,\mu}^\tau = h(\|\cdot\|) + \lambda\Phi_1 + \mu g_\tau \circ \Phi_2$  restricted to  $X$  has two local minima, say  $x_1^\tau, x_2^\tau$ , lying in the set  $\{x \in X : \varphi(x, \lambda) < \sigma\}$ .

Note that

$$\begin{aligned} \bigcup_{\lambda \in [a,b]} \{x \in X : \varphi(x, \lambda) < \sigma\} &\subset \{x \in X : h(\|x\|) + a\Phi_1(x) < \sigma - a\rho\} \\ &\cup \{x \in X : h(\|x\|) + b\Phi_1(x) < \sigma - b\rho\}. \end{aligned}$$

Because the function  $h(\|\cdot\|) + \lambda\Phi_1$  is coercive on  $X$ , the set on the right-side is bounded. Consequently, there is some  $\eta > 0$ , such that

$$\bigcup_{\lambda \in [a,b]} \{x \in X : \varphi(x, \lambda) < \sigma\} \subset B_\eta, \tag{2.2}$$

where  $B_\eta = \{x \in X : \|x\| < \eta\}$ . Therefore,

$$x_1^\tau, x_2^\tau \in B_\eta.$$

Now, set  $c^* = \sup_{t \in [0,\eta]} h(t) + \max\{|a|, |b|\} \sup_{B_\eta} |\Phi_1|$  and fix  $r > \eta$  large enough such that for any  $\lambda \in [a, b]$  to have

$$\{x \in X : h(\|x\|) + \lambda\Phi_1(x) \leq c^* + 2\} \subset B_r. \tag{2.3}$$

Let  $r^* = \sup_{B_r} |\Phi_2|$  and correspondingly, fix a function  $g = g_{r^*} \in \mathcal{G}_{r^*}$ . Let us define  $\mu_0 = \min \left\{ |\lambda| + 1, \frac{1}{1 + \sup |g|} \right\}$ . Since the functional  $E_{\lambda,\mu} = E_{\lambda,\mu}^{r^*} = h(\|\cdot\|) + \lambda\Phi_1 + \mu g_{r^*} \circ \Phi_2$  restricted to  $X$  satisfies the  $(PS)_c$  condition for every  $c \in \mathbb{R}$ ,  $\mu \in [0, \mu_0]$ , and  $x_1 = x_1^{r^*}, x_2 = x_2^{r^*}$  are local minima of  $E_{\lambda,\mu}$ , we may apply Theorem 1.2, obtaining that

$$c_{\lambda,\mu} = \inf_{\gamma \in \Gamma} \max_{s \in [0,1]} E_{\lambda,\mu}(\gamma(s)) \geq \max\{E_{\lambda,\mu}(x_1), E_{\lambda,\mu}(x_2)\} \tag{2.4}$$

is a critical value for  $E_{\lambda,\mu}$ , where  $\Gamma$  is the family of continuous paths  $\gamma : [0, 1] \rightarrow X$  joining  $x_1$  and  $x_2$ . Therefore, there exists  $x_3 \in X$  such that

$$c_{\lambda,\mu} = E_{\lambda,\mu}(x_3) \quad \text{and} \quad 0 \in \partial E_{\lambda,\mu}(x_3).$$

If we consider the path  $\gamma \in \Gamma$  given by  $\gamma(s) = x_1 + s(x_2 - x_1) \subset B_\eta$  we have

$$\begin{aligned} h(\|x_3\|) + \lambda\Phi_1(x_3) &= E_{\lambda,\mu}(x_3) - \mu g(\Phi_2(x_3)) \\ &= c_{\lambda,\mu} - \mu g(\Phi_2(x_3)) \\ &\leq \sup_{s \in [0,1]} (h(\|\gamma(s)\|) + \lambda\Phi_1(\gamma(s)) + \mu g(\Phi_2(\gamma(s)))) - \mu g(\Phi_2(x_3)) \\ &\leq \sup_{t \in [0,\eta]} h(t) + \max\{|a|, |b|\} \sup_{B_\eta} |\Phi_1| + 2\mu_0 \sup |g| \\ &\leq c^* + 2. \end{aligned}$$

From (2.3) it follows that  $x_3 \in B_r$ . Therefore,  $x_i, i = 1, 2, 3$  are critical points for  $E_{\lambda,\mu}$ , all belonging to the ball  $B_r$ . It remains to prove that these elements are critical points not only for  $E_{\lambda,\mu}$  but also for  $\mathcal{E}_{\lambda,\mu} = h(\|\cdot\|) + \lambda\Phi_1 + \mu\Phi_2$ . Let  $x = x_i, i \in \{1, 2, 3\}$ . Since  $x \in B_r$ , we have that  $|\Phi_2(x)| \leq r^*$ . Note that  $g(t) = t$  on  $[-r^*, r^*]$ ; thus,  $g(\Phi_2(x)) = \Phi_2(x)$ . Consequently, on the open set  $B_r$  the functionals  $E_{\lambda,\mu}$  and  $\mathcal{E}_{\lambda,\mu}$  coincide, which completes the proof.  $\square$

### 3 Applications

#### 3.1 A differential inclusion with non-homogeneous boundary condition

Let  $\Omega$  be a non-empty, bounded, open subset of the real Euclidian space  $\mathbb{R}^N, N \geq 3$ , having a smooth boundary  $\partial\Omega$  and let  $W^{1,2}(\Omega)$  be the closure of  $C^\infty(\Omega)$  with the respect to the norm

$$\|u\| := \left( \int_\Omega |\nabla u(x)|^2 + \int_\Omega u^2(x) \right)^{1/2}.$$

Denote by  $2^* = \frac{2N}{N-2}$  and  $\bar{2}^* = \frac{2(N-1)}{N-2}$  the critical Sobolev exponent for the embedding  $W^{1,2}(\Omega) \hookrightarrow L^p(\Omega)$  and for the trace mapping  $W^{1,2}(\Omega) \hookrightarrow L^q(\partial\Omega)$ , respectively. If  $p \in [1, 2^*]$  then the embedding  $W^{1,2}(\Omega) \hookrightarrow L^p(\Omega)$  is continuous while if  $p \in [1, 2^*[$ , it is compact. In the same way for  $q \in [1, \bar{2}^*]$ ,  $W^{1,2}(\Omega) \hookrightarrow L^q(\partial\Omega)$  is continuous, and for  $q \in [1, \bar{2}^*[$  it is compact. Therefore, there exist constants  $c_p, \bar{c}_q > 0$  such that

$$\|u\|_{L^p(\Omega)} \leq c_p \|u\|, \text{ and } \|u\|_{L^q(\partial\Omega)} \leq \bar{c}_q \|u\|, \forall u \in W^{1,2}(\Omega).$$

Now, we consider a locally Lipschitz function  $F : \mathbb{R} \rightarrow \mathbb{R}$  which satisfies the following conditions:

(F0)  $F(0) = 0$  and there exists  $C_1 > 0$  and  $p \in [1, 2^*[$  such that

$$|\xi| \leq C_1(1 + |t|^{p-1}), \forall \xi \in \partial F(t), \quad t \in \mathbb{R}; \tag{3.1}$$

(F1)  $\lim_{t \rightarrow 0} \frac{\max\{|\xi| : \xi \in \partial F(t)\}}{t} = 0;$

(F2)  $\limsup_{|t| \rightarrow +\infty} \frac{F(t)}{t^2} \leq 0;$

(F3) There exists  $\tilde{t} \in \mathbb{R}$  such that  $F(\tilde{t}) > 0$ .

*Example 3.1* Let  $p \in ]1, 2]$  and  $F : \mathbb{R} \rightarrow \mathbb{R}$  be defined by  $F(t) = \min\{|t|^{p+1}, \arctan(t_+)\}$ , where  $t_+ = \max\{t, 0\}$ . The function  $F$  enjoys properties (F0–F3).

Let also  $G : \mathbb{R} \rightarrow \mathbb{R}$  be another locally Lipschitz function satisfying the following condition:

**(G)** There exists  $C_2 > 0$  and  $q \in [1, \bar{2}^* [$  such that

$$|\xi| \leq C_2(1 + |t|^{q-1}), \quad \forall \xi \in \partial G(t), \quad t \in \mathbb{R}. \tag{3.2}$$

For  $\lambda, \mu > 0$ , we consider the following differential inclusion problem, with inhomogeneous Neumann condition:

$$(P_{\lambda,\mu}) \quad \begin{cases} -\Delta u + u \in \lambda \partial F(u(x)) & \text{in } \Omega; \\ \frac{\partial u}{\partial n} \in \mu \partial G(u(x)) & \text{on } \partial \Omega. \end{cases}$$

**Definition 3.1** We say that  $u \in W^{1,2}(\Omega)$  is a solution of the problem  $(P_{\lambda,\mu})$ , if there exist  $\xi_F(x) \in \partial F(u(x))$  and  $\xi_G(x) \in \partial G(u(x))$  for a.e.  $x \in \Omega$  such that for all  $v \in W^{1,2}(\Omega)$  we have

$$\int_{\Omega} (-\Delta u + u)v dx = \lambda \int_{\Omega} \xi_F v dx \quad \text{and} \quad \int_{\partial \Omega} \frac{\partial u}{\partial n} v d\sigma = \mu \int_{\partial \Omega} \xi_G v d\sigma.$$

The main result of this section reads as follows.

**Theorem 3.1** *Let  $F, G : \mathbb{R} \rightarrow \mathbb{R}$  be two locally Lipschitz functions satisfying the conditions **(F0–F3)** and **(G)**. Then there exists a non-degenerate compact interval  $[a, b] \subset ]0, +\infty[$  and a number  $r > 0$ , such that for every  $\lambda \in [a, b]$  there exists  $\mu_0 \in ]0, \lambda + 1]$  such that for each  $\mu \in [0, \mu_0]$ , the problem  $(P_{\lambda,\mu})$  has at least three distinct solutions with  $W^{1,2}$ -norms less than  $r$ .*

In the sequel, we are going to prove Theorem 3.1, assuming from now one that its assumptions are verified.

Since  $F, G$  are locally Lipschitz, it follows through (3.1) and (3.2) in a standard way that  $\Phi_1 : L^p(\Omega) \rightarrow \mathbb{R}$  ( $p \in [1, 2^*]$ ) and  $\Phi_2 : L^q(\partial \Omega) \rightarrow \mathbb{R}$  ( $q \in [1, \bar{2}^*]$ ) defined by

$$\Phi_1(u) = - \int_{\Omega} F(u(x)) dx \quad (u \in L^p(\Omega)) \quad \text{and} \quad \Phi_2(u) = - \int_{\partial \Omega} G(u(x)) d\sigma \quad (u \in L^q(\partial \Omega))$$

are well-defined, locally Lipschitz functionals and due to Clarke [7, Theorem 2.7.5], we have

$$\partial \Phi_1(u) \subseteq - \int_{\Omega} \partial F(u(x)) dx \quad (u \in L^p(\Omega)), \quad \partial \Phi_2(u) \subseteq - \int_{\partial \Omega} \partial G(u(x)) d\sigma \quad (u \in L^q(\partial \Omega)).$$

We introduce the energy functional  $\mathcal{E}_{\lambda,\mu} : W^{1,2}(\Omega) \rightarrow \mathbb{R}$  associated to the problem  $(P_{\lambda,\mu})$ , given by

$$\mathcal{E}_{\lambda,\mu}(u) = \frac{1}{2} \|u\|^2 + \lambda \Phi_1(u) + \mu \Phi_2(u), \quad u \in W^{1,2}(\Omega).$$

Using the latter inclusions and the Green formula, the critical points of the functional  $\mathcal{E}_{\lambda,\mu}$  are solutions of the problem  $(P_{\lambda,\mu})$  in the sense of Definition 3.1. Before proving Theorem 3.1, we need the following auxiliary result.

**Proposition 3.1**  $\lim_{t \rightarrow 0^+} \frac{\inf\{\Phi_1(u) : u \in W^{1,2}(\Omega), \|u\|^2 < 2t\}}{t} = 0.$

*Proof* Fix  $\tilde{p} \in ]\max\{2, p\}, 2^*[$ . Applying Lebourg’s mean value theorem and using **(F0)** and **(F1)**, for any  $\varepsilon > 0$ , there exists  $K(\varepsilon) > 0$  such that

$$|F(t)| \leq \varepsilon t^2 + K(\varepsilon)|t|^{\tilde{p}} \quad \text{for all } t \in \mathbb{R}. \tag{3.3}$$

Taking into account (3.3) and the continuous embedding  $W^{1,2}(\Omega) \hookrightarrow L^{\tilde{p}}(\Omega)$  we have

$$\Phi_1(u) \geq -\varepsilon c_2^2 \|u\|^2 - K(\varepsilon)c_p^{\tilde{p}} \|u\|^{\tilde{p}}, \quad u \in W^{1,2}(\Omega). \tag{3.4}$$

For  $t > 0$  define the set  $S_t = \{u \in W^{1,2}(\Omega) : \|u\|^2 < 2t\}$ . Using (3.4) we have

$$0 \geq \frac{\inf_{u \in S_t} \Phi_1(u)}{t} \geq -2c_2^2\varepsilon - 2^{\tilde{p}/2}K(\varepsilon)c_p^{\tilde{p}}t^{\frac{\tilde{p}}{2}-1}.$$

Since  $\varepsilon > 0$  is arbitrary and since  $t \rightarrow 0^+$ , we get the desired limit. □

*Proof of Theorem 3.1* Let us define the function for every  $t > 0$  by

$$\beta(t) = \inf \left\{ \Phi_1(u) : u \in W^{1,2}(\Omega), \frac{\|u\|^2}{2} < t \right\}.$$

We have that  $\beta(t) \leq 0$ , for  $t > 0$ , and Proposition 3.1 yields that

$$\lim_{t \rightarrow 0^+} \frac{\beta(t)}{t} = 0. \tag{3.5}$$

We consider the constant function  $u_0 \in W^{1,2}(\Omega)$  by  $u_0(x) = \tilde{t}$  for every  $x \in \Omega$ ,  $\tilde{t}$  being from **(F3)**. Note that  $\tilde{t} \neq 0$  (since  $F(0) = 0$ ), so  $\Phi_1(u_0) < 0$ . Therefore it is possible to choose a number  $\eta > 0$  such that

$$0 < \eta < -\Phi_1(u_0) \left[ \frac{\|u_0\|^2}{2} \right]^{-1}.$$

By (3.5) we get the existence of a number  $t_0 \in \left(0, \frac{\|u_0\|^2}{2}\right)$  such that  $-\beta(t_0) < \eta t_0$ . Thus

$$\beta(t_0) > \left[ \frac{\|u_0\|^2}{2} \right]^{-1} \Phi_1(u_0)t_0. \tag{3.6}$$

Due to the choice of  $t_0$  and using (3.6), we conclude that there exists  $\rho_0 > 0$  such that

$$-\beta(t_0) < \rho_0 < -\Phi_1(u_0) \left[ \frac{\|u_0\|^2}{2} \right]^{-1} t_0 < -\Phi_1(u_0). \tag{3.7}$$

Define now the function  $\varphi : W^{1,2}(\Omega) \times \mathbb{I} \rightarrow \mathbb{R}$  by

$$\varphi(u, \lambda) = \frac{\|u\|^2}{2} + \lambda\Phi_1(u) + \lambda\rho_0,$$

where  $\mathbb{I} = [0, +\infty)$ . We prove that the function  $\varphi$  satisfies the inequality

$$\sup_{\lambda \in \mathbb{I}} \inf_{u \in W^{1,2}(\Omega)} \varphi(u, \lambda) < \inf_{u \in W^{1,2}(\Omega)} \sup_{\lambda \in \mathbb{I}} \varphi(u, \lambda). \tag{3.8}$$

The function

$$\mathbb{I} \ni \lambda \mapsto \inf_{u \in W^{1,2}(\Omega)} \left[ \frac{\|u\|^2}{2} + \lambda(\rho_0 + \Phi_1(u)) \right]$$

is obviously upper semicontinuous on  $\mathbb{I}$ . It follows from (3.7) that

$$\lim_{\lambda \rightarrow +\infty} \inf_{u \in W^{1,2}(\Omega)} \varphi(u, \lambda) \leq \lim_{\lambda \rightarrow +\infty} \left[ \frac{\|u_0\|^2}{2} + \lambda(\rho_0 + \Phi_1(u_0)) \right] = -\infty.$$

Thus we find an element  $\bar{\lambda} \in \mathbb{I}$  such that

$$\sup_{\lambda \in \mathbb{I}} \inf_{u \in W^{1,2}(\Omega)} \varphi(u, \lambda) = \inf_{u \in W^{1,2}(\Omega)} \left[ \frac{\|u\|^2}{2} + \bar{\lambda}(\rho_0 + \Phi_1(u)) \right]. \tag{3.9}$$

Since  $-\beta(t_0) < \rho_0$ , it follows from the definition of  $\beta$  that for all  $u \in W^{1,2}(\Omega)$  with  $\frac{\|u\|^2}{2} < t_0$  we have  $-\Phi_1(u) < \rho_0$ . Hence

$$t_0 \leq \inf \left\{ \frac{\|u\|^2}{2} : u \in W^{1,2}(\Omega), -\Phi_1(u) \geq \rho_0 \right\}. \tag{3.10}$$

On the other hand,

$$\begin{aligned} \inf_{u \in W^{1,2}(\Omega)} \sup_{\lambda \in \mathbb{I}} \varphi(u, \lambda) &= \inf_{u \in W^{1,2}(\Omega)} \left[ \frac{\|u\|^2}{2} + \sup_{\lambda \in \mathbb{I}} (\lambda(\rho_0 + \Phi_1(u))) \right] \\ &= \inf_{u \in W^{1,2}(\Omega)} \left\{ \frac{\|u\|^2}{2} : -\Phi_1(u) \geq \rho_0 \right\}. \end{aligned}$$

Thus inequality (3.10) is equivalent to

$$t_0 \leq \inf_{u \in W^{1,2}(\Omega)} \sup_{\lambda \in \mathbb{I}} \varphi(u, \lambda). \tag{3.11}$$

We consider two cases. First, when  $0 \leq \bar{\lambda} < \frac{t_0}{\rho_0}$ , then we have that

$$\inf_{u \in W^{1,2}(\Omega)} \left[ \frac{\|u\|^2}{2} + \bar{\lambda}(\rho_0 + \Phi_1(u)) \right] \leq \varphi(0, \bar{\lambda}) = \bar{\lambda}\rho_0 < t_0.$$

Combining this inequality with (3.9) and (3.11) we obtain (3.8).

Now, if  $\frac{t_0}{\rho_0} \leq \bar{\lambda}$ , then from (3.6) and (3.7), it follows that

$$\begin{aligned} \inf_{u \in W^{1,2}(\Omega)} \left[ \frac{\|u\|^2}{2} + \bar{\lambda}(\rho_0 + \Phi_1(u)) \right] &\leq \frac{\|u_0\|^2}{2} + \bar{\lambda}(\rho_0 + \Phi_1(u_0)) \\ &\leq \frac{\|u_0\|^2}{2} + \frac{t_0}{\rho_0}(\rho_0 + \Phi_1(u_0)) < t_0. \end{aligned}$$

It remains to apply again (3.9) and (3.11), which concludes the proof of (3.8).

Now, we are in the position to apply Theorem 2.1; we choose  $X = W^{1,2}(\Omega)$ ,  $\tilde{X}_1 = L^p(\Omega)$  with  $p \in [1, 2^*]$ ,  $\tilde{X}_2 = L^q(\partial\Omega)$  with  $q \in [1, 2^{**}]$ ,  $\Lambda = \mathbb{I} = [0, +\infty)$ ,  $h(t) = t^2/2$ ,  $t \geq 0$ .

Now, we fix  $g \in \mathcal{G}_\tau$  ( $\tau \geq 0$ ),  $\lambda \in \Lambda$ ,  $\mu \in [0, \lambda + 1]$ , and  $c \in \mathbb{R}$ . We shall prove that the functional  $E_{\lambda,\mu} : W^{1,2}(\Omega) \rightarrow \mathbb{R}$  given by

$$E_{\lambda,\mu}(u) = \frac{1}{2}\|u\|^2 + \lambda\Phi_1(u) + \mu(g \circ \Phi_2)(u), \quad u \in W^{1,2}(\Omega),$$

satisfies the  $(PS)_c$ . Note that due to Lemma 1.1, we have for every  $u, v \in W^{1,2}(\Omega)$  that

$$E_{\lambda,\mu}^\circ(u; v) \leq \langle u, v \rangle_{W^{1,2}} + \lambda\Phi_1^\circ(u; v) + \mu(g \circ \Phi_2)^\circ(u; v). \tag{3.12}$$



First of all, let us observe that  $\frac{1}{2}\|\cdot\|^2 + \lambda\Phi_1$  is coercive on  $W^{1,2}(\Omega)$ , due to **(F2)**; thus, the functional  $E_{\lambda,\mu}$  is also coercive on  $W^{1,2}(\Omega)$ . Consequently, it is enough to consider a bounded sequence  $\{u_n\} \subset W^{1,2}(\Omega)$  such that

$$E_{\lambda,\mu}^\circ(u_n; v - u_n) \geq -\varepsilon_n\|v - u_n\| \quad \text{for all } v \in W^{1,2}(\Omega), \tag{3.13}$$

where  $\{\varepsilon_n\}$  is a positive sequence such that  $\varepsilon_n \rightarrow 0$ . Because the sequence  $\{u_n\}$  is bounded, there exists an element  $u \in W^{1,2}(\Omega)$  such that  $u_n \rightharpoonup u$  weakly in  $W^{1,2}(\Omega)$ ,  $u_n \rightarrow u$  strongly in  $L^p(\Omega)$ ,  $p \in [1, 2^*[$  (since  $W^{1,2}(\Omega) \hookrightarrow L^p(\Omega)$  is compact), and  $u_n \rightarrow u$  strongly in  $L^q(\partial\Omega)$ ,  $q \in [1, 2^*[$  (since  $W^{1,2}(\Omega) \hookrightarrow L^q(\partial\Omega)$  is compact). Using (3.13) with  $v = u$  and apply relation (3.12) for the pairs  $(u_n, u - u_n)$  and  $(u, u_n - u)$ , we have that

$$\begin{aligned} \|u - u_n\|^2 &\leq \varepsilon_n\|u - u_n\| - E_{\lambda,\mu}^\circ(u; u_n - u) + \lambda[\Phi_1^\circ(u_n; u - u_n) + \Phi_1^\circ(u; u_n - u)] \\ &\quad + \mu[(g \circ \Phi_2)^\circ(u_n; u - u_n) + (g \circ \Phi_2)^\circ(u; u_n - u)]. \end{aligned}$$

Since  $\{u_n\}$  is bounded in  $W^{1,2}(\Omega)$ , we clearly have that  $\lim_{n \rightarrow \infty} \varepsilon_n\|u - u_n\| = 0$ . Now, fix  $z^* \in \partial E_{\lambda,\mu}^\circ(u)$ ; in particular, we have  $\langle z^*, u_n - u \rangle_{W^{1,2}} \leq E_{\lambda,\mu}^\circ(u; u_n - u)$ . Since  $u_n \rightharpoonup u$  weakly in  $W^{1,2}(\Omega)$ , we have that  $\liminf_{n \rightarrow \infty} E_{\lambda,\mu}^\circ(u; u_n - u) \geq 0$ . Now, for the remaining four terms in the above estimation we use the fact that  $\Phi_1^\circ(\cdot; \cdot)$  and  $(g \circ \Phi_2)^\circ(\cdot; \cdot)$  are upper semicontinuous functions on  $L^p(\Omega)$  and  $L^q(\partial\Omega)$ , respectively. Since  $u_n \rightarrow u$  strongly in  $L^p(\Omega)$ , we have for instance  $\limsup_{n \rightarrow \infty} \Phi_1^\circ(u_n; u - u_n) \leq \Phi_1^\circ(u; 0) = 0$ ; the remaining terms are similar. Combining the above outcomes, we obtain finally that  $\limsup_{n \rightarrow \infty} \|u - u_n\|^2 \leq 0$ , i.e.,  $u_n \rightarrow u$  strongly in  $W^{1,2}(\Omega)$ . It remains to apply Theorem 2.1 in order to obtain the conclusion.  $\square$

*Remark 3.1* Marano and Papageorgiou [11] studied a similar problem to  $(P_{\lambda,\mu})$  by considering the homogeneous case when  $G = 0$  and the  $p$ -Laplacian operator  $\Delta_p$  instead of the standard Laplacian  $\Delta$ . By using a non-smooth mountain pass type argument (with zero altitude), they guaranteed the existence of solutions for the studied problem.

### 3.2 A differential inclusion in $\mathbb{R}^N$

Let  $p > 2$  and  $F : \mathbb{R} \rightarrow \mathbb{R}$  be a locally Lipschitz function such that

- ( $\tilde{F}1$ )  $\lim_{t \rightarrow 0} \frac{\max\{|\xi| : \xi \in \partial F(t)\}}{|t|^{p-1}} = 0$ ;
- ( $\tilde{F}2$ )  $\limsup_{|t| \rightarrow +\infty} \frac{F(t)}{|t|^p} \leq 0$ ;
- ( $\tilde{F}3$ ) There exists  $\tilde{t} \in \mathbb{R}$  such that  $F(\tilde{t}) > 0$ , and  $F(0) = 0$ .

In this section we are going to study the differential inclusion problem

$$(\tilde{P}_{\lambda,\mu}) \quad \begin{cases} -\Delta_p u + |u|^{p-2}u \in \lambda\alpha(x)\partial F(u(x)) + \mu\beta(x)\partial G(u(x)) & \text{on } \mathbb{R}^N, \\ u(x) \rightarrow 0 & \text{as } |x| \rightarrow \infty, \end{cases}$$

where  $p > N \geq 2$ , the numbers  $\lambda, \mu$  are positive, and  $G : \mathbb{R} \rightarrow \mathbb{R}$  is any locally Lipschitz function. Furthermore, we assume that  $\beta \in L^1(\mathbb{R}^N)$  is any function, and  $(\tilde{\alpha}) \alpha \in L^1(\mathbb{R}^N) \cap L^\infty_{\text{loc}}(\mathbb{R}^N)$ ,  $\alpha \geq 0$ , and  $\sup_{R>0} \text{essinf}_{|x|\leq R} \alpha(x) > 0$ .

The functional space where our solutions are going to be sought is the usual Sobolev space  $W^{1,p}(\mathbb{R}^N)$ , endowed with the norm  $\|u\| = \left(\int_{\mathbb{R}^N} |\nabla u(x)|^p + \int_{\mathbb{R}^N} |u(x)|^p\right)^{1/p}$ .

**Definition 3.2** We say that  $u \in W^{1,p}(\mathbb{R}^N)$  is a solution of problem  $(\tilde{P}_{\lambda,\mu})$ , if there exist  $\xi_F(x) \in \partial F(u(x))$  and  $\xi_G(x) \in \partial G(u(x))$  for a. e.  $x \in \mathbb{R}^N$  such that for all  $v \in W^{1,p}(\mathbb{R}^N)$  we have

$$\int_{\mathbb{R}^N} (|\nabla u|^{p-2} \nabla u \nabla v + |u|^{p-2} uv) dx = \lambda \int_{\mathbb{R}^N} \alpha(x) \xi_F v dx + \mu \int_{\mathbb{R}^N} \beta(x) \xi_G v dx. \quad (3.14)$$

*Remark 3.2* (a) The terms in the right hand side of (3.14) are well-defined. Indeed, due to Morrey’s embedding theorem, i.e.,  $W^{1,p}(\mathbb{R}^N) \hookrightarrow L^\infty(\mathbb{R}^N)$  is continuous ( $p > N$ ), we have  $u \in L^\infty(\mathbb{R}^N)$ . Thus, there exists a compact interval  $I_u \subset \mathbb{R}$  such that  $u(x) \in I_u$  for a.e.  $x \in \mathbb{R}^N$ . Since the set-valued mapping  $\partial F$  is upper-semicontinuous, the set  $\partial F(I_u) \subset \mathbb{R}$  is bounded; let  $C_F = \sup |\partial F(I_u)|$ . Therefore,

$$\left| \int_{\mathbb{R}^N} \alpha(x) \xi_F v dx \right| \leq C_F \|\alpha\|_{L^1} \|v\|_\infty < \infty.$$

Similar argument holds for the function  $G$ .

(b) Since  $p > N$ , any element  $u \in W^{1,p}(\mathbb{R}^N)$  is homoclinic, i.e.,  $u(x) \rightarrow 0$  as  $|x| \rightarrow \infty$ , see Brézis [5, Théorème IX.12].

The main result of this section is

**Theorem 3.2** *Assume that  $p > N \geq 2$ . Let  $\alpha, \beta \in L^1(\mathbb{R}^N)$  be two radial functions,  $\alpha$  fulfilling  $(\tilde{\alpha})$ , and let  $F, G : \mathbb{R} \rightarrow \mathbb{R}$  be two locally Lipschitz functions,  $F$  satisfying the conditions  $(\tilde{F}1-\tilde{F}3)$ . Then there exists a non-degenerate compact interval  $[a, b] \subset ]0, +\infty[$  and a number  $\tilde{r} > 0$ , such that for every  $\lambda \in [a, b]$  there exists  $\mu_0 \in ]0, \lambda + 1[$  such that for each  $\mu \in [0, \mu_0]$ , the problem  $(\tilde{P}_{\lambda,\mu})$  has at least three distinct, radially symmetric solutions with  $L^\infty$ -norms less than  $\tilde{r}$ .*

Note that no hypothesis on the growth of  $G$  is assumed; therefore, the last term in  $(\tilde{P}_{\lambda,\mu})$  may have an arbitrary growth. However, assumption  $(\tilde{\alpha})$  together with  $(\tilde{F}3)$  guarantee the existence of non-trivial solutions for  $(\tilde{P}_{\lambda,\mu})$ .

The proof of Theorem 3.2 is similar to that of Theorem 3.1; we will show only the differences. To do that, we introduce some notions and preliminary results.

Although the embedding  $W^{1,p}(\mathbb{R}^N) \hookrightarrow L^\infty(\mathbb{R}^N)$  is continuous (due to Morrey’s theorem ( $p > N$ )), it is not compact. We overcome this gap by introducing the subspace of radially symmetric functions of  $W^{1,p}(\mathbb{R}^N)$ . The action of the orthogonal group  $O(N)$  on  $W^{1,p}(\mathbb{R}^N)$  can be defined by  $(gu)(x) = u(g^{-1}x)$ , for every  $g \in O(N)$ ,  $u \in W^{1,p}(\mathbb{R}^N)$ ,  $x \in \mathbb{R}^N$ . It is clear that this group acts linearly and isometrically; in particular  $\|gu\| = \|u\|$  for every  $g \in O(N)$  and  $u \in W^{1,p}(\mathbb{R}^N)$ . Defining the subspace of radially symmetric functions of  $W^{1,p}(\mathbb{R}^N)$  by

$$W_{\text{rad}}^{1,p}(\mathbb{R}^N) = \{u \in W^{1,p}(\mathbb{R}^N) : gu = u \text{ for all } g \in O(N)\},$$

we can state the following result.

**Proposition 3.2** [9] *The embedding  $W_{\text{rad}}^{1,p}(\mathbb{R}^N) \hookrightarrow L^\infty(\mathbb{R}^N)$  is compact whenever  $2 \leq N < p < \infty$ .*

Let  $\Phi_1, \Phi_2 : L^\infty(\mathbb{R}^N) \rightarrow \mathbb{R}$  be defined by

$$\Phi_1(u) = - \int_{\mathbb{R}^N} \alpha(x) F(u(x)) dx \quad \text{and} \quad \Phi_2(u) = - \int_{\mathbb{R}^N} \beta(x) G(u(x)) dx.$$

Since  $\alpha, \beta \in L^1(\mathbb{R}^N)$ , the functionals  $\Phi_1, \Phi_2$  are well-defined and locally Lipschitz, see Clarke [7, p. 79-81]. Moreover, we have

$$\partial\Phi_1(u) \subseteq - \int_{\mathbb{R}^N} \alpha(x)\partial F(u(x))dx, \quad \partial\Phi_2(u) \subseteq - \int_{\mathbb{R}^N} \beta(x)\partial G(u(x))dx.$$

The energy functional  $\mathcal{E}_{\lambda,\mu} : W^{1,p}(\mathbb{R}^N) \rightarrow \mathbb{R}$  associated to problem  $(\tilde{P}_{\lambda,\mu})$ , is given by

$$\mathcal{E}_{\lambda,\mu}(u) = \frac{1}{p}\|u\|^p + \lambda\Phi_1(u) + \mu\Phi_2(u), \quad u \in W^{1,p}(\mathbb{R}^N).$$

It is clear that the critical points of the functional  $\mathcal{E}_{\lambda,\mu}$  are solutions of the problem  $(\tilde{P}_{\lambda,\mu})$  in the sense of Definition 3.2; for a similar argument, see Kristály [9].

Since  $\alpha, \beta$  are radially symmetric, then  $\mathcal{E}_{\lambda,\mu}$  is  $O(N)$ -invariant, i.e.  $\mathcal{E}_{\lambda,\mu}(gu) = \mathcal{E}_{\lambda,\mu}(u)$  for every  $g \in O(N)$  and  $u \in W^{1,p}(\mathbb{R}^N)$ . Therefore, we may apply a non-smooth version of the *principle of symmetric criticality*, proved by Krawcewicz-Marzantowicz [8], whose form in our setting is as follows.

**Proposition 3.3** *Any critical point of  $\mathcal{E}_{\lambda,\mu}^{\text{rad}} = \mathcal{E}_{\lambda,\mu}|_{W_{\text{rad}}^{1,p}(\mathbb{R}^N)}$  will be also a critical point of  $\mathcal{E}_{\lambda,\mu}$ .*

The following result can be compared with Proposition 3.1, although their proofs are different.

**Proposition 3.4**  $\lim_{t \rightarrow 0^+} \frac{\inf\{\Phi_1(u) : u \in W_{\text{rad}}^{1,p}(\mathbb{R}^N), \|u\|^p < pt\}}{t} = 0.$

*Proof* Due to  $(\tilde{F}1)$ , for every  $\varepsilon > 0$  there exists  $\delta(\varepsilon) > 0$  such that

$$|\xi| \leq \varepsilon|t|^{p-1}, \quad \forall t \in [-\delta(\varepsilon), \delta(\varepsilon)], \quad \forall \xi \in \partial F(t). \tag{3.15}$$

For any  $0 < t \leq \frac{1}{p} \left(\frac{\delta(\varepsilon)}{c_\infty}\right)^p$  define the set

$$S_t = \{u \in W_{\text{rad}}^{1,p}(\mathbb{R}^N) : \|u\|^p < pt\},$$

where  $c_\infty > 0$  denotes the best constant in the embedding  $W^{1,p}(\mathbb{R}^N) \hookrightarrow L^\infty(\mathbb{R}^N)$ .

Note that  $u \in S_t$  implies that  $\|u\|_\infty \leq \delta(\varepsilon)$ ; indeed, we have  $\|u\|_\infty \leq c_\infty\|u\| < c_\infty(pt)^{1/p} \leq \delta(\varepsilon)$ . Fix  $u \in S_t$ ; for a.e.  $x \in \mathbb{R}^N$ , Lebourg’s mean value theorem and (3.15) imply the existence of  $\xi_x \in \partial F(\theta_x u(x))$  for some  $0 < \theta_x < 1$  such that

$$F(u(x)) = F(u(x)) - F(0) = \xi_x u(x) \leq |\xi_x| \cdot |u(x)| \leq \varepsilon|u(x)|^p.$$

Consequently, for every  $u \in S_t$  we have

$$\begin{aligned} \Phi_1(u) &= - \int_{\mathbb{R}^N} \alpha(x)F(u(x))dx \geq -\varepsilon \int_{\mathbb{R}^N} \alpha(x)|u(x)|^p dx \\ &\geq -\varepsilon\|\alpha\|_{L^1}\|u\|_\infty^p \geq -\varepsilon\|\alpha\|_{L^1}c_\infty^p\|u\|^p \\ &\geq -\varepsilon\|\alpha\|_{L^1}c_\infty^p pt. \end{aligned}$$

Therefore, for every  $0 < t \leq \frac{1}{p} \left(\frac{\delta(\varepsilon)}{c_\infty}\right)^p$  we have

$$0 \geq \frac{\inf_{u \in S_t} \Phi_1(u)}{t} \geq -\varepsilon\|\alpha\|_{L^1}c_\infty^p p.$$

Since  $\varepsilon > 0$  is arbitrary, we obtain the required limit. □

*Proof of Theorem 3.2* We are going to apply Theorem 2.1 by choosing  $X = W_{\text{rad}}^{1,p}(\mathbb{R}^N)$ ,  $\tilde{X}_1 = \tilde{X}_2 = L^\infty(\mathbb{R}^N)$ ,  $\Lambda = [0, +\infty)$ ,  $h(t) = t^p/p$ ,  $t \geq 0$ .

Fix  $g \in \mathcal{G}_\tau$  ( $\tau \geq 0$ ),  $\lambda \in \Lambda$ ,  $\mu \in [0, \lambda + 1]$ , and  $c \in \mathbb{R}$ . We prove that the functional  $E_{\lambda,\mu} : W_{\text{rad}}^{1,p}(\mathbb{R}^N) \rightarrow \mathbb{R}$  given by

$$E_{\lambda,\mu}(u) = \frac{1}{p} \|u\|^p + \lambda \Phi_1(u) + \mu(g \circ \Phi_2)(u), \quad u \in W_{\text{rad}}^{1,p}(\mathbb{R}^N),$$

satisfies the  $(PS)_c$  condition.

Note first that the function  $\frac{1}{p} \|\cdot\|^p + \lambda \Phi_1$  is coercive on  $W_{\text{rad}}^{1,p}(\mathbb{R}^N)$ . To prove this, let  $0 < \varepsilon < (p\|\alpha\|_{L^1} c_\infty^p \lambda)^{-1}$ . Then, on account of  $(\tilde{F}2)$ , there exists  $\delta(\varepsilon) > 0$  such that

$$F(t) \leq \varepsilon |t|^p, \quad \forall |t| > \delta(\varepsilon).$$

Consequently, for every  $u \in W_{\text{rad}}^{1,p}(\mathbb{R}^N)$  we have

$$\begin{aligned} \Phi_1(u) &= - \int_{\mathbb{R}^N} \alpha(x) F(u(x)) dx \\ &= - \int_{\{x \in \mathbb{R}^N : |u(x)| > \delta(\varepsilon)\}} \alpha(x) F(u(x)) dx - \int_{\{x \in \mathbb{R}^N : |u(x)| \leq \delta(\varepsilon)\}} \alpha(x) F(u(x)) dx \\ &\geq -\varepsilon \int_{\{x \in \mathbb{R}^N : |u(x)| > \delta(\varepsilon)\}} \alpha(x) |u(x)|^p dx - \max_{|t| \leq \delta(\varepsilon)} |F(t)| \int_{\{x \in \mathbb{R}^N : |u(x)| \leq \delta(\varepsilon)\}} \alpha(x) dx \\ &\geq -\varepsilon \|\alpha\|_{L^1} c_\infty^p \|u\|^p - \|\alpha\|_{L^1} \max_{|t| \leq \delta(\varepsilon)} |F(t)|. \end{aligned}$$

Now, we have

$$\frac{1}{p} \|u\|^p + \lambda \Phi_1(u) \geq \left( \frac{1}{p} - \varepsilon \lambda \|\alpha\|_{L^1} c_\infty^p \right) \|u\|^p - \lambda \|\alpha\|_{L^1} \max_{|t| \leq \delta(\varepsilon)} |F(t)|,$$

which clearly implies the coercivity of  $\frac{1}{p} \|\cdot\|^p + \lambda \Phi_1$ .

As an immediate consequence, the functional  $E_{\lambda,\mu}$  is also coercive on  $W_{\text{rad}}^{1,p}(\mathbb{R}^N)$ . Therefore, it is enough to consider a bounded sequence  $\{u_n\} \subset W_{\text{rad}}^{1,p}(\mathbb{R}^N)$  such that

$$E_{\lambda,\mu}^\circ(u_n; v - u_n) \geq -\varepsilon_n \|v - u_n\| \quad \text{for all } v \in W_{\text{rad}}^{1,p}(\mathbb{R}^N), \tag{3.16}$$

where  $\{\varepsilon_n\}$  is a positive sequence such that  $\varepsilon_n \rightarrow 0$ . Since the sequence  $\{u_n\}$  is bounded in  $W_{\text{rad}}^{1,p}(\mathbb{R}^N)$ , one can find an element  $u \in W_{\text{rad}}^{1,p}(\mathbb{R}^N)$  such that  $u_n \rightharpoonup u$  weakly in  $W_{\text{rad}}^{1,p}(\mathbb{R}^N)$ , and  $u_n \rightarrow u$  strongly in  $L^\infty(\mathbb{R}^N)$ , due to Proposition 3.2.

Due to Lemma 1.1, for every  $u, v \in W_{\text{rad}}^{1,p}(\mathbb{R}^N)$  we have

$$E_{\lambda,\mu}^\circ(u; v) \leq \int_{\mathbb{R}^N} (|\nabla u|^{p-2} \nabla u \nabla v + |u|^{p-2} uv) + \lambda \Phi_1^\circ(u; v) + \mu(g \circ \Phi_2)^\circ(u; v). \tag{3.17}$$

Put  $v = u$  in (3.16) and apply relation (3.17) for the pairs  $(u, v) = (u_n, u - u_n)$  and  $(u, v) = (u, u_n - u)$ , we have that

$$\begin{aligned} I_n &\leq \varepsilon_n \|u - u_n\| - E_{\lambda,\mu}^\circ(u; u_n - u) + \lambda[\Phi_1^\circ(u_n; u - u_n) + \Phi_1^\circ(u; u_n - u)] \\ &\quad + \mu[(g \circ \Phi_2)^\circ(u_n; u - u_n) + (g \circ \Phi_2)^\circ(u; u_n - u)], \end{aligned}$$

where

$$I_n \stackrel{\text{not.}}{=} \int_{\mathbb{R}^N} (|\nabla u_n|^{p-2} \nabla u_n - |\nabla u|^{p-2} \nabla u)(\nabla u_n - \nabla u) + \int_{\mathbb{R}^N} (|u_n|^{p-2} u_n - |u|^{p-2} u)(u_n - u).$$

Since  $\{u_n\}$  is bounded in  $W_{\text{rad}}^{1,p}(\mathbb{R}^N)$ , we have that  $\lim_{n \rightarrow \infty} \varepsilon_n \|u - u_n\| = 0$ . Fixing  $z^* \in \partial E_{\lambda,\mu}^\circ(u)$  arbitrarily, we have  $\langle z^*, u_n - u \rangle \leq E_{\lambda,\mu}^\circ(u; u_n - u)$ . Since  $u_n \rightharpoonup u$  weakly in  $W_{\text{rad}}^{1,p}(\mathbb{R}^N)$ , we have that  $\liminf_{n \rightarrow \infty} E_{\lambda,\mu}^\circ(u; u_n - u) \geq 0$ . The functions  $\Phi_1^\circ(\cdot; \cdot)$  and  $(g \circ \Phi_2)^\circ(\cdot; \cdot)$  are upper semicontinuous functions on  $L^\infty(\mathbb{R}^N)$ . Since  $u_n \rightarrow u$  strongly in  $L^\infty(\mathbb{R}^N)$ , the upper limit of the last four terms is less or equal than 0 as  $n \rightarrow \infty$ , see Lemma 1.1 d).

Consequently,

$$\limsup_{n \rightarrow \infty} I_n \leq 0. \tag{3.18}$$

Since  $|t - s|^p \leq (|t|^{p-2}t - |s|^{p-2}s)(t - s)$  for every  $t, s \in \mathbb{R}^m$  ( $m \in \mathbb{N}$ ) we infer that  $\|u_n - u\|^p \leq I_n$ . The last inequality combined with (3.18) leads to the fact that  $u_n \rightarrow u$  strongly in  $W_{\text{rad}}^{1,p}(\mathbb{R}^N)$ , as claimed.

It remains to prove relation (2.1) from Theorem 2.1. On account of Proposition 3.4, this part can be completed in a similar way as we performed in the proof of Theorem 3.1, the only difference is the construction of the function  $u_0$  appearing after relation (3.5). In the sequel, we construct the corresponding function  $u_0 \in W_{\text{rad}}^{1,p}(\mathbb{R}^N)$  such that  $\Phi_1(u_0) < 0$ .

On account of  $(\tilde{\alpha})$ , one can fix  $R > 0$  such that  $\alpha_R = \text{essinf}_{|x| \leq R} \alpha(x) > 0$ . For  $\sigma \in ]0, 1[$  define the function

$$w_\sigma(x) = \begin{cases} 0, & \text{if } x \in \mathbb{R}^N \setminus B_N(0, R); \\ \tilde{t}, & \text{if } x \in B_N(0, \sigma R); \\ \frac{\tilde{t}}{R(1-\sigma)}(R - |x|), & \text{if } x \in B_N(0, R) \setminus B_N(0, \sigma R), \end{cases}$$

where  $B_N(0, r)$  denotes the  $N$ -dimensional open ball with center 0 and radius  $r > 0$ , and  $\tilde{t}$  comes from  $(\tilde{F}3)$ . Since  $\alpha \in L_{\text{loc}}^\infty(\mathbb{R}^N)$ , then  $M(\alpha, R) = \sup_{x \in B_N(0,R)} \alpha(x) < \infty$ . A simple estimate shows that

$$-\Phi_1(w_\sigma) \geq \omega_N R^N \left[ \alpha_R F(\tilde{t}) \sigma^N - M(\alpha, R) \max_{|t| \leq \tilde{t}} |F(t)|(1 - \sigma^N) \right].$$

When  $\sigma \rightarrow 1$ , the right hand side is strictly positive; choosing  $\sigma_0$  close enough to 1, for  $u_0 = w_{\sigma_0}$  we have  $\Phi_1(u_0) < 0$ .

Due to Theorem 2.1, there exist a non-empty open set  $A \subset \Lambda$  and  $r > 0$  with the property that for every  $\lambda \in A$  there exists  $\mu_0 \in ]0, \lambda + 1[$  such that, for each  $\mu \in [0, \mu_0]$  the functional  $\mathcal{E}_{\lambda,\mu}^{\text{rad}} = \frac{1}{p} \|\cdot\|^p + \lambda \Phi_1 + \mu \Phi_2$  defined on  $W_{\text{rad}}^{1,p}(\mathbb{R}^N)$  has at least three critical points in  $W_{\text{rad}}^{1,p}(\mathbb{R}^N)$  whose  $\|\cdot\|$ -norms are less than  $r$ . Applying Proposition 3.3, the critical points of  $\mathcal{E}_{\lambda,\mu}^{\text{rad}}$  are also critical points of  $\mathcal{E}_{\lambda,\mu}$ , thus, radially weak solutions of problem  $(\tilde{P}_{\lambda,\mu})$ . Due to the embedding  $W^{1,p}(\mathbb{R}^N) \hookrightarrow L^\infty(\mathbb{R}^N)$ , if  $\tilde{r} = c_\infty r$ , then the  $L^\infty$ -norms of these elements are less than  $\tilde{r}$  which concludes our proof.  $\square$

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